

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND EIGHTY-NINTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 6, 2002

The Board of Trustees met at its regular monthly meeting on Friday, December 6, 2002, at The Ohio State University Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 6, 2002 meeting, Board of Trustees

The Chairman, Mr. Patterson, called the meeting of the Board of Trustees to order on December 6, 2002, at 10:30 a.m. He requested the Assistant Secretary to call the roll.

Present: James F. Patterson, Chairman, Zuheir Sofia, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Joseph A. Shultz, and Paula A. Habib. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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Mr. Patterson:

We have an item to bring to the Board at the outset of the meeting, and that is the election of the Secretary of the Board of Trustees. The Personnel Committee, consisting of myself, Zuheir Sofia, and Tami Longaberger, recommends the appointment of the Board Secretary:

ELECTION OF SECRETARY

Resolution No. 2003-61

Synopsis: Election of David O. Frantz as Secretary of the Board of Trustees is proposed.

WHEREAS paragraph (B) of rule 3335-1-02 of the Administrative Code, provides for replacement of Board officers through election; and

WHEREAS there currently exists a vacancy in the position of duly elected Secretary of the Board of Trustees; and

WHEREAS in recognition of the scope of University responsibilities appropriately to be performed by the Secretary, and the necessity for all operational and administrative functions of the Office of the Board of Trustees to be performed under the direction of an Associate Secretary:

NOW THEREFORE

BE IT RESOLVED, That pursuant to paragraph (B) of rule 3335-1-02 of the Administrative Code, David O. Frantz is hereby designated as Secretary of the Board of Trustees of The Ohio State University for a term commencing December 6, 2002, and continuing through the May 2003 meeting of the Board and is empowered to act on behalf of this Board; and

BE IT FURTHER RESOLVED, That Maureen T. Sharkey is hereby designated as Associate Secretary of the Board of Trustees of The Ohio State University effective December 6, 2002, and is empowered to act on behalf of this Board as directed by the Secretary, and in the absence of the Secretary, or if a vacancy in the Board Secretary position occurs, to perform the duties of the Secretary (paragraph (G) of rule 3335-01-02 of the Administrative Code); and

BE IT FURTHER RESOLVED, That all actions duly taken by Maureen T. Sharkey as Assistant Secretary of the Board prior to the effective date hereof are hereby ratified and approved as the official actions of the Secretary of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate these changes in the applicable sections of the *Bylaws of the Board of Trustees*.

Upon motion of Mr. Sofia, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Hendricks and Davidson.

Mr. Patterson:

I would like to ask David to please stand and be recognized.

Dr. David O. Frantz:

Mr. Chairman, I would like to thank you for the honor and opportunity to act as Secretary of the Board.

Mr. Patterson:

Thank you. We are just delighted as a Board that we are able to recommend David to be Secretary of the Board. The position is extremely important. David will be performing the secretary's duties and responsibilities, and acting as liaison between the Board and the president, and other parts of the administration and University, and the greater community as well.

Our thought was that David, as a faculty member with vast experience at the University, would be extremely beneficial to this Board in performing our roles and responsibilities. We tremendously look forward to having that opportunity to work with David. And David starts immediately.

I also want to recognize that, as part of the resolution, and I think I was remiss in doing this, the naming of Maureen Sharkey as associate secretary. I also want to recognize Lucy Gandert and Suzanne Blake and the tremendous job that they do in the Board office as well. I know all of you recognize that, but I did want to note it.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I have passed out to members of the Board of Trustees what I call, "Update November," and it's my report of the activities that have gone on on campus from my perspective and things I've been primarily involved in over the past month. I'm going to tell you the categories and then cite a few of these in particular.

I will continue to put out this report each month that talks about the activities on campus and, in this case, it's about five pages of things that have gone on this time in different categories: Ohio State activities off-campus; community activities; meetings with elected officials; the press; national meetings; status of University-wide searches; some of the external organizations I participate in; and major issues that are under continued discussion on campus. Each time this seems to get to be a little bit more of a lengthy report, but many exciting things, as you all know, go on on this campus.

I'm going to highlight about six of the things that I've talked about in the report. I want to start, of course, by congratulating the wonderful Ohio State football team for a 13-0 victory and taking us to the national championship. This is, of course, something that's really outstanding for our University and we can really thank the student athletes and the coaches for a spectacular season. I start with that because that certainly is the highlight, but I do feel it's necessary to give you a bit of an update on the events that took place the evening following that spectacular victory and where we are today.

Those of you who attended the Academic Affairs Committee meeting this morning had an opportunity to hear Mr. Hall talk a little bit more about what the preparations were prior to that evening and what the activities have been since

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

then, or what some of the things are that have been done. I'm going to just cite a few of those again, but not in the detail that you've already heard.

I think you all know that immediately after the event Mayor Coleman and I met, along with our own administrative teams prior to that, to craft what our response would be to the community. We went public that day with our response. The next day I had the opportunity to speak to the Rotary Club -- which was some 400-500 members of the community -- and my plan was to talk about the role of higher education and economic development. My sense was that would have been an irresponsible thing to do considering the seriousness of the events the day before, so I changed at the last moment and talked to the community about the events of the night before and what the University was doing.

I now want to say a little bit about the University's response, and as Mr. Hall has said the response has been to engage the entire University community on behalf of the University. There are other activities taking place that I'll mention as well, but the students, the faculty, the staff, and everyone who is related to the University community needs to get involved.

I was very gratified to hear the comments of the students this morning. Briggs Cormier talked on behalf of the graduate students. As many of you may know, a very strong resolution was passed by the Council on Student Affairs and it commits to updating the *Code of Student Conduct* immediately after the first of the year.

Eddie Pauline said some very strong remarks about a grand campaign that I thought was very appropriate. He mentioned this as a student priority issue and I like the fact that he was bold enough to say the word "solve." I, too, agree with him that this is an issue that needs to be resolved, if not solved.

Mr. Hall has talked about the strong sanctions for students and it was mentioned what actions are being taken with our alumni. We have worked as a group to craft near-term and long-term strategies to deal with this issue. We have a continuing communications plan that I'd like to tell you is a positive plan. It is one that is talking about Ohio State -- as we go to the Fiesta Bowl -- being a very exciting and wonderful place to be academically and that is the face we want to put on Ohio State and highlight when we're there. We don't want to dwell on the past, but, instead, really promote all of the good things that are taking place on this campus.

There is going to be a formation of a University-wide task force to look into these issues and to start planning right now for the future -- in many ways to create a change in culture on the campus. We're going to do that ourselves. We're also going to do it in partnership with people in the community and I'll mention a little bit broader strategy momentarily.

This is also a good time to announce, on behalf of Athletics Director Andy Geiger, who has committed funds for us to go forward, that we will put together conferences or whatever it is that we need to do to make this an issue of priority and an issue that we study. Now study doesn't mean we're going to sit back and look at it as an academic venture, but we do have experts on this campus.

For example, we have Dean David Andrews, from the College of Human Ecology, whose area of expertise is specifically related to this kind of behavior. We need to take advantage of those faculty to help us understand a little bit more

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

about what is going on and how we can make changes. We have other people across the state who have expertise in this area and we will bring them together at a conference with media experts, with mental health experts, and with people around the nation who know how to deal with crisis management situations.

This was actually recommended by our State Senator Jeff Jacobson, who suggested specifically that a summit be pulled together across the State of Ohio, among all of the universities, to talk about fan behavior as a very serious issue. So, out of that, the Inter-University Council -- the presidents started it -- the Student Affairs vice presidents are going to be leading that venture so that we will have a state-wide focus on this as a prelude to a national conference that we hope will take place in the future. The Big Ten is also interested in this and the executive committee of the Big Ten is going to be putting together a plan to also address this situation. This is recognizing that it is not an Ohio State issue alone, but as Vice President Hall has said, this is a social epidemic. It's nationwide and one that we really want to take a leadership role in now to help make some impact on the future.

So I think we have a number of things ongoing, a lot of plans. We look forward to advice from the faculty, from the students, and from anyone who cares to participate to help us. It is a big undertaking and it is one that I think will benefit not only us, but the nation very broadly.

Then it's time for us, now, also, to move on to Ohio State as the great University that it is, and promote that as well. As I said, that is going to be part of our strategy at the Fiesta Bowl. Mr. Hall has also outlined things that will take place at the Fiesta Bowl, again, to show the real quality of the people who are here and that we know represent us as well as possible.

A few other highlights I'll mention, is that during this past month it was International Education Week 2002. The title of that event was, "Securing the Future Through Study and Exchange." This is a very positive way to highlight all of the great things that international students do on this campus. In the Academic Affairs Committee meeting this morning, you heard from the director of the Mershon Center about the marvelous thematic work that is going on, the faculty who are involved, and the various programs that take place to really highlight The Ohio State University's role in a global society in a global economy today. Very exciting.

For those of you who do not know or may not know how important the international population is to The Ohio State University, eight percent, or 4,300, of our students come from countries outside of the United States. Seventy-two percent of those students come from an Asian country. We have five area center studies and we teach 840 courses that have an international component. About 1,300 of our students study abroad each year. We teach nearly 40 languages in 641 different sections and we have over 200 cooperative agreements with other universities abroad. This is indeed a very connected university and one that cares very deeply about the global environment. I think that is very exciting.

I had the good fortune of meeting with a number of international students in both a reception in the College of Humanities where many of those students reside, as well as in a forum that was developed by Dr. Ladman and Dr. Griesberger.

There have been many diversity events that have taken place on campus over the past month. The third President's and Provost's Diversity Lecture was held,

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

unfortunately at a time when a lot of the campus could not participate. It was a phenomenal lecture by Allen Wolf, from the Boise Center of Religion and Public Affairs at Boston College. He talked about religious diversity and about the United States as being one of the only countries in the world that has always had religious diversity. He traced religion back from the time that the Pilgrims landed on this ground and started, then moved away from the Anglican Church, and then branched out into total religious diversity. It was a very, very provocative and a very exciting lecture.

I also had an opportunity to meet with: the Women's Council on Women's Issues; the Black ministers in the community; and Stonewall Columbus to talk and to meet a number of members of the GLBT population on this campus. This has been a very interesting series of events for me.

We had a number of very special University events, including hosting President Mikhail Gorbachev who was here through the Fisher College. We also awarded an honorary degree to a Nobel laureate, Y. T. Lee from Taiwan; and we awarded the Wexner Prize to William Forsythe of the Frankfort Ballet – another phenomenal event for us.

Also this month was the National Association of Land-Grant Universities and Colleges' meeting and a number of our Ohio State members participated in that organization in very significant and meaningful ways. Just to tell you what kinds of things the presidents of that organization are interested in -- because it tells you it's a summary of what most university campuses are thinking about today -- athletics and national security were at the forefront. Again, Ohio State and its partner Battelle had a role in that through a presentation by Carl Kohrt. Whether public universities are now becoming privatized is an issue as our budgets become downsized at the state level. Working with governing boards was also an important component of that meeting, and we were very privileged to have our own Chairman Jim Patterson as one of the major speakers for that event. His remarks went over extremely well.

As you all know, during this month we had an election and there is new leadership in the Statehouse in many places. This means new challenges and new opportunities for all of us.

I'll conclude my remarks on a high note saying that we are so delighted that Louis Sullivan will be our commencement speaker. Many of you may know Louis Sullivan is the former director of Health and Human Services under the senior George Bush. He is president emeritus of the Morehouse College of Medicine, and now he is chairing President Bush's task force advisory council on HIV and AIDS. He is a marvelous representative of the national health community and I am absolutely delighted that he will be with us as a very senior statesman and our commencement speaker.

Thank you.

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Mr. Patterson:

An item that the Trustees want to address is the events after the Michigan game. The Board of Trustees are extremely interested in the responsibilities that we have as Trustees and the responsibilities of the University to address these problems, recognizing that we accept the responsibility of us as The Ohio State

University family. We also recognize that the issue is happening throughout the country and it's greater than just this University. Our desire, as Karen quoted Eddie Pauline, is finding not only a resolution, but a solution to this problem. In that regard, we would like to present an Expression of Support.

EXPRESSION OF SUPPORT

Resolution No. 2003-62

WHEREAS on November 23, 2002, The Ohio State University's football team and coaches brought great honor and pride to our University and our community by completing a perfect season, posting a 13-0 record and accepting an invitation to compete for the national title in the Fiesta Bowl on January 3, 2003; and

WHEREAS the luster of those outstanding achievements was tarnished by the riotous and destructive activity that occurred in the University area late in the night of November 23; and

WHEREAS the University's response to the unlawful conduct has been swift and firm, including working in concert with Columbus law enforcement and invoking the Student Judicial Code to its fullest extent by immediately suspending all arrested students and aggressively pursuing identification of visible participants who may be subject to arrest; and

WHEREAS faculty members, staff, student government organizations, and the majority of our students abhor the unlawful and violent conduct and have pledged their support for the strongest possible sanctions and are committed to joining in the effort to seek solutions to the underlying causes and problems; and

WHEREAS it is recognized that there are serious societal issues that must be addressed comprehensively and collaboratively if this cycle of random violence is to be curbed:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees affirms and supports all actions taken and to be taken by President Karen A. Holbrook and her administration in dealing firmly with all identified perpetrators, and leading a broad based effort of analysis, education, and engagement with the entire community in creating a more positive and constructive university culture.

Upon motion of Mr. Sofia, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Hendricks and Davidson.

Mr. Patterson:

The issue is important to this University. It will not be solved immediately. It is ongoing and will stretch into the future, but the support of the Board of Trustees for addressing it is strong.

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PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN

Dr. C. Bradley Moore: [PowerPoint Presentation]

I'm very pleased to have this opportunity to discuss our research program with you again this year. These are exciting times for research at Ohio State. Our new president is a research leader, not only as an accomplished medical researcher but also as an award winning vice president for research.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

The purpose of our University – “To advance the well-being of the people of Ohio and the global community through the creation and dissemination of knowledge” -- is a particularly important one in this era of the knowledge economy. Ohio State’s role has become increasingly central to the success of the State of Ohio.

The overarching goal of our Academic Plan -- The Ohio State University will be among the world’s truly great universities -- is critical for our mission in this global society. We really have to be performing at an international level of excellence and be globally competitive. And, thus, the Office of Research’s mission to bring Ohio State University to a position of international leadership in research and its applications is crucial. Notwithstanding the trials of our limited state budget, we are making good progress on our Academic Plan, and, I believe, this is the best Academic Plan in the United States.

Governor Taft has won reelection on a platform of support for education and for economic development that involves higher education in an integral way. The Governor’s Third Frontier program, \$1.6 billion over 10 years, is a major element of that platform and an extremely important one for us.

Our faculty have been doing their part; they are doing more and better research. Two keys measures of research output are publications and the attention that they receive. You will notice in the calendar year 2001, we broke the 3,000 mark on the number of publications for the first time. At least as significant, and in my view much more significant, is the fact that our market share of citations, the number of times people at other places have cited the work of our faculty, is increasing. Here you see that papers published in the years 2000 and 2001 are being cited approximately 20 percent more frequently than papers in previous years. Obviously, papers published in 1997 have been cited a lot more often than ones that were just published last year. This is remarkable progress. We have a ways to go to catch up with our benchmarks still, but we’re moving along quite well.

Research funding is a key input and often an essential one for research. Research grant awards have increased more than the state has managed to cut our budget. Our faculty have been writing lots of proposals, bigger proposals, and more successful ones. This continued double-digit growth in our research budget is an extremely important part of our progress. We have a lot to be proud of in the progress we’ve made in our Academic Plan and we have many important opportunities to look forward to in the future.

Our basic strategy is a leapfrog strategy in research, not a catch-up strategy. Each time we pick a new problem or enter a new field we do it with the idea that we should be the best in the world at what we do, and often we should succeed and sometimes maybe we should be second or third. Our status as a flagship university of a large state and our diversity equip us well to attack the biggest and most complex challenges, intellectual challenges, and societal problems.

The growing collaboration with our neighbor Battelle, the world’s largest private research organization, adds to our strengths in many important ways. I believe that the research opportunities that are opening now are much bigger, more exciting, and more intellectually challenging than ever before. That the impact of universities and, especially, The Ohio State University on the world will grow rapidly.

Let’s take a quick look at some of our progress on specific goals, each a part of building Ohio’s future. First, sustaining and enhancing an outstanding faculty is

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

clearly at the center of everything that we do. Faculty members create the great ideas, perform the groundbreaking research, teach the outstanding courses, attract the great students, and perform the outreach and service that brings a strong and positive impact on human society. A great faculty is the sine qua non of a great university.

In sustaining and enhancing our faculty, the first priority under our Academic Plan is to have a competitive salary structure, which with raises target the salaries at the upper end of the Big Ten institutions. Very selective hiring in the most attractive opportunity areas and very successful retention of our best faculty are also key parts of success in this area.

Support services that the Office of Research provides for our faculty and students are the main thing that our office is about -- providing outstanding services at a competitive cost that is benchmarked against the top ten research universities. We have new leadership in most of the areas that our office supports. The service in each unit is benchmarked annually in a quantitative way on how well it meets the needs of our faculty and how those services compare to those at other universities nationally.

A key piece of building our University's reputation is to build the recognition of our research leaders and research programs locally, nationally, and internationally. I feel that our faculty and programs are significantly under-recognized in comparison to their accomplishments. Nonetheless, we have been winning many significant awards and we have many great people doing great work.

You will notice that this is not quite a random sample of awards that our faculty have won, but an entirely different one from those impressive accomplishments which Rick Hermann cited earlier, and one could say many more. Lonny Thompson won the Heineken Prize for Environmental Sciences, and he and his wife Ellen Mosely-Thompson won the 2002 Commonwealth Award for Distinguished Service for Science and Invention. Albert de la Chappelle won the Mauro Bashimoto Award from the European Society for Human Genetics, Umit Oskan received the 2002 Society of Women Engineers award for her work, and Derek Hansen was named one of the world's 100 Top Young Investigators by *Technology Review Magazine*.

Many of you recognize Derek from his picture on the big screen at the 'Shoe in the moonsuit in our new MicroMD Facility. And you might ask, well, did the football team help him win this. Well, I don't know, but this is more exposure than any other scientist in the world gets by being up on that big screen on everybody's TV screen in the United States, and I suspect very much so around the world.

This past year, we began the University Research Lecture Series. Our first lecturer, Norman Borlog, the father of the Green Revolution and winner of the Nobel Peace Prize, spoke Winter Quarter. Sherry Rowland, who won the Nobel Prize in Chemistry for understanding how freons decrease the concentration of ozone in our stratosphere and therefore the likelihood of getting cancer from sunburn, spoke Spring Quarter. President Holbrook already mentioned Professor Lee's lecture. One of the world's leading humanists, Martha Nussbaum, will speak in the Winter Quarter. David McCullough, Pulitzer Prize winning author and biographer, will speak this Spring Quarter. Many of you probably have read his biography of John Adams. And Tom Chek, president of

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

the Howard Hughes Medical Institute and Nobel Prize winner for his discovery of self-replicating RNA molecules, will speak this coming Autumn Quarter.

The purpose of this lecture series involving the world's most distinguished research leaders is to highlight the role of research for the University community, for the greater Central Ohio community, and for state government, and, in so doing, to build recognition of Ohio State, its faculty, and its students. That recognition ultimately will have a big impact on our currently stellar status with respect to the numbers of members of the various national academies. We are not passing the competition yet, but we did increase the number of members of those academies this past year. Brit Kirwan was elected to the American Academy of Arts and Sciences and we brought Winston Ho to our Department of Chemical Engineering as a new, for us, member of the National Academy of Engineering.

Our academic program goals: 1) build research areas of major opportunity, and 2) create at least one major nationally recognized multidisciplinary center within five years. To move along with that goal, there are a number of multidisciplinary initiatives in progress at the present time. The two which were selected as top priority for our Academic Plan are: 1) the Biomedical Research Initiative is a complete plan for establishing an innovative structure for biomedical research and for doubling the biomedical research program; and 2) develop a realistic business plan for building facilities and hiring faculty that uses primarily outside resources. This is a wonderful example of how to move forward rapidly and in a very well organized way.

The Kirwan Institute for Race and Ethnicity in the Americas has been carefully planned for some time and has substantial University support. Its director, John Powell, will be arriving on campus at the beginning of the year. This is an institute whose work will certainly be outstanding and world leading.

This past year, the Mathematical Biosciences Institute was established by a grant from the National Science Foundation. This is one of our nation's major national mathematics institutes. This Institute involves people from mathematics, biosciences, and medicine. It is the result of a strategic hire of three related faculty, one of whom is Joel Saltz, in math, medical informatics, and pharmacology. The principal investigator for that program and the director of the Institute is Avner Friedman, mathematics professor and one of the leading members of the National Academy of Sciences in Mathematics.

Our Knowledge Bank Initiative is under the leadership of Joe Brannon. He is defining the role of research universities as providers of information in our knowledge economy. This is an initiative which is just getting off the ground, but one where the rest of the world is following us as rapidly as they can and is being imitated around the globe.

For some time we have been leaders, thanks to the work of the Thompsons and the Byrd Polar Research Center, in climate records from ice cores. Programs in carbon sequestration and the restoration of the Mississippi River Basin are in their early stages, as is our program in international and homeland security.

The quality of our lives and ability to live together in harmony on our planet will depend a great deal on the work of our colleagues in humanities, arts, and social sciences. Here you see Professor Timothy Gregory with a Roman mosaic that he excavated to help him reconstruct the history of how human society and the natural environment have evolved together in the past. It is not difficult to

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

imagine that an understanding of how people in the past have evolved with a changing environment will help us deal with the changes that are occurring in our environment today.

In this slide we see a system for digitally recording the motion of dancers. The Ohio State motion capture technology that you see here helps make movies such as *Star Wars* and does lots of interesting other things. Some of you had the pleasure of hearing Sherri Geldin describe the emotional power of a William Forsythe dance on the occasion of his receiving the Wexner Prize that President Holbrook mentioned earlier. We wonder: Could an analysis of the digital record reveal the key elements of Forsythe's impact? Would we find correlations between the motions of dancers and the magnetic resonance images of Forsythe's brain activity? Would this prospect help to lure Forsythe to Columbus? He has lost his funding in Frankfort, so maybe we should take advantage of that. What impact could this research have on our lives? Could it be more expensive to do research in the arts than in physics? Well, this is for the future.

Our second major academic program goal is a push for major new State of Ohio research funding. Last year, I was uncertain about this, but this year, with a \$1.6 billion Third Frontier program flying on the Governor's flag, I'm very optimistic about this. And, of course, through the tobacco monies we have already had a very successful start, from Ohio State's point of view, with funding from this program. We have the first aliquot of \$50 million for the Wright Center of Innovation that has already been allocated by the legislature. In fact, I think the second one came out a couple days ago when the capital budget passed the legislature. So, we're doing well there.

We also want to raise at least \$100 million in new private support by 2005. Frankly, I would really like to see us raise one or two individual chunks of that magnitude. This is an enterprise where we are not yet close to success, but we're not that close to 2005 yet either.

Doubling federal research support from the FY 1999 level by FY 2004. Here we are in really good shape. With respect to NIH funding you will see that we are here in the middle of the pack with respect to our benchmark institutions. We have been improving our performance relative to the rest of the world, moving from the 57th rank to the 53rd rank among all universities, public and private, in the United States. We can expect to improve in this ranking when the 2002 rankings come out, because our NIH funding over the last year has increased by over 22 percent.

With respect to the National Science Foundation, we're still at the bottom among our benchmark institutions. Our performance relative to the rest of the world has been improving, going from 53rd to 46th to 43rd. I expect to see a dramatic improvement in this area next year, because our NSF funding increased over 35 percent. This is an amazing record and I could hardly have dreamed of such improvement, never mind predicted it. These NIH and NSF awards are particularly important because they not only represent dollars to support our research, but, at least as importantly, they represent the opinion of the toughest reviewers in the world of the quality of the work that we do here and their expectations for what we will accomplish in the future.

Research facilities are a really critical component of being able to do research and the efforts that we're going to do to build the biomedical research tower is an obvious example. We're involved in a campus-wide research facilities planning

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

process, together with a consultant and the architect's office, which is in mid-course. A research-based survey for facilities -- that is critical to the success of our overhead negotiations -- and bringing in overhead funds for our facilities is moving along and will need to be completed for that spring negotiation.

Building Ohio's future is what we are all about. Moving new knowledge technologies created by research into applications, so as to capture the full value of research for the citizens of Ohio, the nation, and the world. That is a good indicator of how well we are doing. The fact that we are ranked 5th in the nation with respect to the funding of our research by business and industry is how much our research is valued in the practical arena.

A critical part of our job is to provide clear paths for faculty to protect and commercialize their intellectual property. Since the departure of David Allen, we have been revamping our office under the leadership of David Winwood to connect more closely with the faculty. We expect we will be roughly doubling the number of invention disclosures in the next few years and that that will drive the number of patents awarded and the number of license options executed. You will see a dip here in licenses executed and we expect that to recover pretty quickly as our new staff gets busy with our existing intellectual property.

The formation of start-up companies has been a high priority over the last few years and the success in FY 2001 and 2002 has been outstanding. A serious financial problem for us comes through our bottom line in an unfortunate way. On the other hand, making a profit from doing patents and licenses is perhaps not as important as the technology that we transfer and its impact on business and industry in Ohio.

Those of you who watch football know that we have a research program that our football team likes to brag about. A top ranking takes longer to achieve in research than it does in football; the time scales move more slowly, so it's easier to hang in there, too. As in football, though, focus, sustained effort, and an absolute insistence on excellence will allow us to reach our goals.

One of the ways that we'll be reaching our goals is through the work of Professor Joel Saltz, chair of the Department of Medical Informatics. Joel is one of these really modern people -- he has an M.D. degree, has done a residency in pathology, and holds a Ph.D. degree in computer science. We simplified his job a little bit. He was a professor at both Johns Hopkins and at the University of Maryland. So let me turn this over to Joel.

BUILDING A CLINICAL RESEARCH GRID

Dr. Joel H. Saltz:

Thank you, Brad. I'm delighted to be here. The topic of biomedical informatics sometimes seems a little difficult to explain. Boiling it down to essentials, what it comes down to is finding needles in haystacks, and finding medical cures from huge amounts of information.

About 300 years ago, the microscope was invented and about 20 years ago it was discovered that most ulcers were due to a bacteria that could have been seen in one of the first microscopes. So it was about 280 years, plus or minus a few years, during which time the tools and really a lot of the data was available to discover the cause of a very common disease.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

BUILDING A CLINICAL RESEARCH GRID (contd)

Dr. Saltz: (contd)

Life has gotten a lot more exciting now. As I'm sure you know, there is a huge onslaught of information on genetics, protein expression, and functional imaging. The real challenge is to not be in a situation where, in the year 2300, we say, "You know in 2002 we basically had cancer and heart disease sorted out, but it took a few hundred years to put the pieces together."

This is the goal of the project that a team of folks submitted to the state for the tobacco settlement fund grant. We were delighted to receive that and we're beginning work on this now. The goal in a nutshell is to put together the infrastructure needed to harness information from the many crucial information sources in order to do clinical research to find cures for diseases.

Before I get into that I'm going to say a few things about the partnership, because it is a very exciting partnership. At The Ohio State University, we have an interdisciplinary group, many of whom have been brought in by Fred Sanfilippo in the last couple of years, covering the areas of pharmacogenomics, bioinformatics, various areas of cancer research, heart disease research, and high-end computing and grid computing.

The Ohio Super Computing Center is really a top-notch organization and is one of the many reasons I came to Ohio from the State of Maryland. They are on the leading edge in a lot of very exciting ways and actually a very deep interest in bioinformatics themselves. Recentress is led by a group of people who have been known for many years as some of the leading people in the area of bioinformatics. So, it's a very exciting team to be privileged to work with.

The bottom line here is to synthesize -- to take the dramatic advances that have come and are coming very rapidly in the ability to understand the biological world, to understand what's going on with people's genomes, to understand what's going on with the expression of genes and proteins, and taking whole body and whole animal images and understand what's going on in metabolism -- and put all of this together to understand what this has to do with diseases. So the bottom line is to decrease the cost of clinical research, to increase the ability to synthesize new information, increase effectiveness of treatment, and decrease time to cure disease. The goals are simple and, I think, that augurs well for a project and helps to know where you're going.

One collection of data type involved genetics. There are lots and lots of data sources that range from information on SNPs and haplotypes that increasingly are going to make it possible to individualize medicine, instead of treating a very general disease entity to find out what exactly is going on with an individual person and how that can be dealt with. As you can imagine, there is a very large heterogeneous set of data involved in that.

Then there is intermediate information describing how genes are expressed and how proteins are expressed, and then there is synthetic whole body information, which tells how metabolism is occurring. What this picture shows is a type of MR that is useful for producing tumor grades without taking biopsies. It's a long story as to how it works, but it really tells, essentially, how a tumor is functioning.

So we're taking that and combining that with very exciting advances in computer science. It's a huge problem. What we're really doing is we're standing at a fulcrum, in a sense that we're leveraging ongoing work in computer science and supercomputing and grid computing. We're involved with essentially all of the

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

BUILDING A CLINICAL RESEARCH GRID (contd)

Dr. Saltz: (contd)

major consortia involved in developing this technology. Our role is in small part to develop a little bit of the computer science technology and in large part is to take advances in computer science technology and advances in biomedical research and marry them in order to produce the tools needed to not wait another 300 years to find cures for diseases. This briefly challenges what is important in the needle in a haystack dealing with huge amounts of information.

We're going from a situation where when I was in medical school you could put essentially all of the information associated with a hospital and with all clinical research on one hard drive of a current PC. So things have grown tremendously since then. Data is very large and there are lots of different types of data. In all of this, we have to develop methods for analyzing this data while maintaining patient confidentiality and being able to accommodate research going on at lots of different institutions who may not talk to each other every day. There are problems of scale and in a number of different dimensions.

I want to give you a concrete example of the type of project that's motivating this research. There are many biomedical projects that are associated with this grant, but I think that this project brings some of it home. The issue here is understanding, in essence, how to carry out individualized prevention and treatment of heart disease. That is a pretty basic issue. The question really is, "How does the process of developing heart disease differ from one type of person to another?" "How does a person's genetic makeup affect responses to say for instance treatment by Lipitor?" Because the situation now is that you take a bunch of people who might have high cholesterol and you treat them with Lipitor, or whatever your favorite statin is, and their cholesterol is generally lowered. But some people will have heart attacks anyway.

So what's going on here? How do we go from very general average sorts of statistics to really understand what is going on with disease mechanisms and the relationship between the disease mechanisms and genetics? That involves correlating a lot of information.

One of the exciting things about this is the group of partners involved, because we've taken the rather heterogeneous interdisciplinary team in the original tobacco grant and we've added additional researchers, both in the medical center and on main campus. So there are people in this group who span genetics, who span proteomics, who are in multiple different departments -- pharmacogenomics, cardiology and the like -- as well as Avner Friedman from the Mathematical Sciences Institute. I think this is a really nice example of a very broad team. We're working with a number of such teams.

One of the other exciting things about this process is something that Brad will like and that is that it has the effect of leveraging and making it easier to obtain other funding. All of these researchers are well funded already, but by being able to focus efforts in this interdisciplinary way, we're in a position to both make progress and also present a very convincing description of what we're doing to funding agencies.

The bottom line is that this project will have the attributes that we intended: 1) to improve medical and computing technology, and 2) having an impact on making it easier to do clinical research. Then there's an economic impact, which is also very important to us. By developing software and techniques that make it easier and cheaper to do better clinical research through our partner, Recentress, and

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

BUILDING A CLINICAL RESEARCH GRID (contd)

Dr. Saltz: (contd)

other partners -- who we will no doubt work with in the course of the grant -- we help seed a software industry that will play in this very economically important area of clinically research and drug discovery. Thank you.

Dr. Moore:

Thanks very much, Joel. Mr. Chairman, thank you.

Mr. Patterson:

Are there any questions? Thank you very much, we appreciate your report.

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STUDENT RECOGNITION AWARD

Ms. Habib:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or community, and research achievements that have been a credit to the college and the University.

This month's recipient is Courtney Howard, who was nominated by Dean Hogan in the Colleges of the Arts and Sciences. Dean Hogan was unable to attend today, so Dean Adelson, who is the associate executive dean of Arts and Sciences is here to represent the college.

Courtney is an honor's student majoring in English and minoring in political science. She will graduate this spring, Summa Cum Laude with honors in the liberal arts and with distinction in English, and plans to attend law school next fall. She came to Ohio State in Autumn 1999 as the recipient of a Traditions Scholarship and has been awarded a number of other scholarships since that time. Last year, she was named the College of Humanities' Outstanding Student and also received the Katherine Hall Prize for Leadership, Academic Achievement, and Service.

She is a member of Phi Beta Kappa, Phi Kappa Phi, the National Society of Collegiate Scholars, Student Alumni Council, and Mortarboard Senior Class Honorary. Courtney is also a past member of the Chimes Junior Class Honorary, the symphonic choir, and the women's glee club.

In addition to her academic achievements and her co-curricular activities, Courtney has found time to participate in University governance in a variety of ways. She has served on the Elections Governance Board for the Undergraduate Student Government, and currently serves on the College of Humanities' Dean's Advisory Council, the Colleges of the Arts and Sciences Honors Committee, the Botoman Outstanding Faculty Award Committee, and the NCAA Committee on Academic Integrity.

Courtney has also sought out ways to enhance the quality of her academic experience at Ohio State. In addition to participating in the honors program throughout her time here, she participated in the Bath Study Abroad Program in

STUDENT RECOGNITION AWARD (contd)

Ms. Habib: (contd)

the summer of 2001, and will spend next quarter in Washington, D.C., as a John Glenn Fellow.

Being a student myself, it is a great honor for me to be able to present a student like Courtney to the Board of Trustees. The Board appreciates the chance to meet students, especially ones who exemplify exactly how bright and committed they are at Ohio State.

Congratulations, Courtney. Dean Adelson, would you like to make any comments?

Dean Edward H. Adelson:

Thank you. We are very proud of Courtney. It should be noted that Dr. Frantz is her advisor.

Mr. Patterson:

Congratulations, Courtney. You are truly outstanding.

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CONSENT AGENDA

President Karen A. Holbrook:

We have twelve resolutions on the Consent Agenda today and we are seeking your approval of the following:

NAMING OF LEARNING CENTER

Resolution No. 2003-63

Synopsis: Naming of the Learning Center in Means Hall, rooms 305 and 307, at 1654 Upham Drive is proposed.

WHEREAS the Department of Surgery has created a facility which enhances the transmission of medical research, the interaction of medical staff and students, the access to the medical library and the internet, the hosting of visiting lecturers and small professional conferences; and

WHEREAS Dr. Larry C. Carey served as chair of the Department of Surgery at The Ohio State University from 1975 to 1985; and

WHEREAS Dr. Carey is an outstanding medical professor, having trained numerous other doctors including five who went on to become chairs of surgery departments at other colleges in the United States; and

WHEREAS Dr. Carey is a noted international authority and scholar in biliary and pancreatic surgery; and

WHEREAS the naming of this learning center is suggested and endorsed by legions of Dr. Carey's former residents, medical students, and colleagues:

NAMING OF LEARNING CENTER (contd)

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned facility shall be named the "Lawrence Campbell Carey Learning Center" at The Ohio State University's College of Medicine and Public Health.

NAMING OF OPTOMETRY RESEARCH CLINIC

Resolution No. 2003-64

Synopsis: Naming of the research clinic located in Glenn A. Fry Hall at The Ohio State University College of Optometry, 352 West 10th Avenue is proposed.

WHEREAS E.F. Wildermuth formed a family foundation charged with propagating his optometric mission; and

WHEREAS The E.F. Wildermuth Foundation, based in Ohio, seeks to further optometric research and scholarship; and

WHEREAS E.F. Wildermuth exemplified the integrity and dedication to serving patients and the broader community that is desired in all optometry students; and

WHEREAS in treating all patients with dignity and respect, E.F. Wildermuth desired to find a cure for blindness; and

WHEREAS his family wishes to perpetuate his service to the profession of optometry; and

WHEREAS The E.F. Wildermuth Foundation has been a long-time generous donor to the College of Optometry;

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned facility shall be named the "E.F. Wildermuth Optometric Research Clinic" at The Ohio State University's College of Optometry.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2003-65

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on November 14, 2002:

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Rescinded Rule

~~3335-5-483 Committee on university bookstores.~~

~~(A) Membership.~~

~~The committee on university bookstores shall consist of eleven members.~~

~~(1) Five regular faculty, one of whom is a member of the university libraries, selected by the faculty council. The term of service is three years.~~

~~(2) Four students.~~

~~(a) One graduate student selected by the council of graduate students. The term of service is one year.~~

~~(b) One professional student selected by the inter-professional council. The term of service is one year.~~

~~(c) Two undergraduate students selected by the undergraduate student government. The term of service is one year.~~

~~(3) Two administration members, non-voting.~~

~~(a) Vice president for business and finance or designee.~~

~~(b) Director, the Ohio state university bookstores.~~

~~(B) Duties and responsibilities.~~

~~(1) Review short- and long-term plans and objectives recommended by the management of the Ohio state university bookstores and, in cooperation with that management develop, revise, and recommend basic policies, programs, and objectives. These would include, but not be limited to:~~

~~(a) Reviewing proposals to open or close branches.~~

~~(b) Monitoring and reporting to the senate periodically on the financial status of the bookstores.~~

~~(c) Recommending actions that promote the scholarly nature of the bookstores.~~

~~(d) Developing policies that enhance the timely availability of both new and used textbooks.~~

~~(e) Recommending changes to maintain adequate educational materials, services, and organization.~~

~~(2) Make recommendations on these matters to the director of the Ohio state university bookstores, and to the university senate as appropriate. The vice president for business and finance, the senior vice president for business and finance, and the office of the university senate shall be notified of these recommendations.~~

~~(C) Organization.~~

~~As a standing committee of the senate, this committee is also governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code. (B/T 5/1/86, B/T 10/2/87, B/T 2/5/88, B/T 2/4/93, B/T 11/4/94, B/T 12/4/98, B/T 12/6/2002)~~

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

HONORARY DEGREES

Resolution No. 2003-66

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Robert D. Havener	Doctor of Public Service
Gerardus 't Hooft	Doctor of Science
Martha C. Nussbaum	Doctor of Humane Letters
Mark Rucker	Doctor of Music
Robert Edward "Ted" Turner III	Doctor of Humane Letters

and

WHEREAS pursuant to paragraph (B)(4) of rule 3335-1-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of an honorary degree as listed below:

Louis W. Sullivan	Doctor of Public Health
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NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT

Resolution No. 2003-67

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT (contd)

BE IT RESOLVED, That the degrees and certificates be conferred on December 13, 2002, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2003-68

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 1, 2002 meeting of the Board, including the following Appointments, Appointment/Reappointment of Chairpersons, Promotion, Leaves of Absence Without Salary, Professional Improvement Leaves, Professional Improvement Leave—Change in Dates, and Emeritus Titles as detailed in the University Budget be approved.

BE IT FURTHER RESOLVED, That the Medical Staff Appointments approved November 19, 2002, by The James Cancer Hospital Board, be ratified.

Appointments

Name:	DANIEL C.K. CHOW
Title:	Professor (The Robert J. Nordstrom Designated Professorship in Law)
College:	Law
Term:	December 6, 2002, through November 30, 2007
Name:	SHARON L. DAVIES
Title:	Professor (The John C. Elam/Vorys Sater Designated Professorship in Law)
College:	Law
Term:	December 6, 2002, through November 30, 2007
Name:	CHARIS ENG
Title:	Professor (The Klotz Chair in Cancer Research)
College:	Medicine and Public Health (The James Cancer Hospital and Richard J. Solove Research Institute)
Term:	December 1, 2002, through June 30, 2006
Name:	DAVID O. FRANTZ
Title:	Secretary of the Board of Trustees
Office:	Board of Trustees
Effective:	December 6, 2002
Concurrent Position:	Professor, Department of English
Name:	P. CHRIS HAMMEL
Title:	The Ohio Eminent Scholar in Experimental Materials Research
College:	Mathematical and Physical Sciences
Effective:	October 1, 2002
Present Position:	Laboratory Fellow, Los Alamos National Laboratory, Los Alamos, NM
Name:	ALAN C. MICHAELS
Title:	Professor (The Edwin M. Cooperman Designated Professorship in Law)
College:	Law
Term:	December 1, 2002, through June 30, 2006

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: MARY E. O'CONNELL
Title: Professor (The Honorable William B. Saxbe Designated Professorship in Law)
College: Law
Term: December 1, 2002, through June 30, 2007

Name: ALLAN J. SAMANSKY
Title: Professor (The Robert J. Watkins/Procter & Gamble Professorship Fund)
College: Law
Term: December 6, 2002, through November 30, 2007

Name: BARBARA R. SNYDER
Title: Interim Vice President
Office: University Relations
Effective: December 1, 2002
Present Position: Vice Provost, Office of Academic Affairs

Name: ANDREAS F. VON RECUM
Title: Director of Biomedical Engineering Center
College: Engineering
Term: August 17, 2002, through August 16, 2007
Concurrent Position: Associate Dean, College of Veterinary Medicine

Appointment of Chairperson

July 1, 2003, through June 30, 2007

Geography

Morton E. O'Kelly

Reappointment of Chairpersons

July 1, 2003, through June 30, 2007

Political Science
Speech and Hearing Science

Paul A. Beck
Robert A. Fox

Promotion

Name: MAUREEN T. SHARKEY
Title: Associate Secretary of the Board of Trustees
Office: Board of Trustees
Effective: December 6, 2002
Present Position: Assistant Secretary of the Board of Trustees

Leaves of Absence Without Salary

MOHAMMED I. EL-NAGGAR, Professor, Department of Electrical Engineering, to assist Spirea Microelectronics with product development and help manage the U.S. operation, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

BERYL A. MILLER, Professor, Department of Dance, effective Autumn Quarter 2002, to work as Artistic Director of Bebe Miller Company.

DAVID A. ODDEN, Professor, Department of Linguistics, effective Winter Quarter and Spring Quarter 2003, to accept a visiting professorship at Durham University, United Kingdom.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary (contd)

KIRK A. DENTON, Associate Professor, Department of East Asian Languages and Literatures, effective April 1, 2003, through June 30, 2003, to accept a visiting associate professorship at Harvard University.

REBEKAH MODRAK, Assistant Professor, Department of Art, effective Winter Quarter 2003, for personal reasons.

Professional Improvement Leaves

KENNETH W. HINCHCLIFF, Professor, Department of Veterinary Clinical Sciences, effective Winter Quarter and Spring Quarter 2003.

MICHAEL J. PARSONS, Professor, Department of Art Education, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

KATHRYN A. EATON, Associate Professor, Department of Veterinary Biosciences, effective January 1, 2003, through December 31, 2003.

JOSEPH E. HEIMLICH, Associate Professor, School of Natural Resources, effective Winter Quarter, Spring Quarter, Summer Quarter, and Autumn Quarter 2003.

Professional Improvement Leave—Change in Dates

ANTHONY MUGHAN, Professor, Department of Political Science, change leave from Spring Quarter 2003, to Autumn Quarter 2003.

Emeritus Titles

LARRY B. ANDERSON, Department of Chemistry, with the title Professor Emeritus, effective December 1, 2002.

RICHARD N. CHRISTENSEN, Department of Mechanical Engineering, with the title Professor Emeritus, effective December 1, 2002.

VERNAL H. KENNER, Department of Mechanical Engineering, with the title Professor Emeritus, effective December 1, 2002.

DOUGLAS S. KERR, Department of Computer and Information Science, with the title Associate Professor Emeritus, effective December 1, 2002.

WILLIAM F. OGDEN, Department of Computer and Information Science, with the title Associate Professor Emeritus, effective December 1, 2002.

Medical Staff—Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

October/November 2002

Initial Appointments – Faculty

Yiu-Chung Chan, M.D., Psychiatry, Assistant Professor, Associate Attending
Gregory A. Christoforidis, M.D., Radiology, Assistant Professor, Associate Attending
Marcella Dardani, D.O., Radiology, Assistant Professor, Associate Attending
Galina T. Dimitrova, M.D., Anesthesiology, Clinical Assistant Professor, Associate Attending
Maria Estrada, D. O., Pediatric Critical Care, Associate Attending
Richard A. Freeland, M.D., Psychiatry, Associate Professor, Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff—Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (contd)

October/November 2002 (contd)

Initial Appointments – Faculty (contd)

Pablo A. Gamboa, M.D., Radiology, Assistant Professor, Associate Attending
Hooman Khabiri, M.D., Radiology, Assistant Professor, Associate Attending
Nadeem Khan, M.D., Pediatric Critical Care, Associate Attending
Mark A. King, M.D., Radiology, Assistant Professor, Associate Attending
Lawrence S. Kirschner, M.D., Ph.D., Internal Medicine, Endocrinology, Associate Professor, Associate Attending
Sumant Lamba, M.D., Internal Medicine, Cardiology, Associate Attending
Mary J. McCafferty, M.D., Psychiatry, Clinical Assistant Professor, Associate Attending
Kannan Natarajan, M.D., Radiology, Assistant Professor, Associate Attending
Julie A. Niedermier, M.D., Psychiatry, Assistant Professor, Associate Attending
Autumn M. O'Brien, M.D., Internal Medicine, General Medicine, Clinical Assistant Professor, Associate Attending
Milroy J. Samuel, M.D., Obstetrics and Gynecology, Clinical Instructor, Clinical Attending
H. Wayne Slone, M.D., Radiology, Assistant Professor, Associate Attending
Karen Thomas, D.O., Neurology, Assistant Professor, Associate Attending
Kenneth M. Vitellas, M.D., Radiology, Assistant Professor, Associate Attending
Craig E. Williams, M.D., Psychiatry, Clinical Assistant Professor, Associate Attending
Bruce Woodworth, M.D., Surgery, Urology, Clinical Assistant Professor, Clinical Attending
James L. Young, M.D., Psychiatry, Clinical Assistant Professor, Associate Attending
Joseph Yu, M.D., Radiology, Associate Professor, Associate Attending

Initial Appointments – Community

Jeffrey G. Bell, M.D., Obstetrics and Gynecology, Community Associate based at Riverside Methodist Hospital
Kiran K. Devulapally, M.D., Community Associate based at University Hospitals/East
Grace Hercl, D.O., Internal Medicine, General Medicine, Community Associate based at University Hospitals/East
Thomas E. McEldowney, D.O., Internal Medicine, General Medicine, Community Associate based at University Hospitals/East
Randy L. Mills, D.O., Internal Medicine, General Medicine, Community Associate based at University Hospitals/East
Charles W. Noble, M.D., Internal Medicine, Cardiology, Community Associate based at University Hospitals/East
Jeffrey G. Poulos, M.D., Internal Medicine, General Medicine, Community Associate based at University Hospitals/East
Alex Tambrini, M.D., Family Practice, Community Associate based at The Community Hospital, Springfield
Valerie A. Woodmansee, D.O., Emergency Medicine, Community Associate based at The Community Hospital, Springfield

Initial Appointments – Allied Health Professionals

Jennifer Brown, PA, Surgery, Cardiothoracic Surgery
Ruthann Kennedy, C.N.P., Internal Medicine, Pulmonary and Critical Care

Provisional to Full Appointment – Faculty

Robert Crowell, M.D., Orthopaedics, Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff—Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (contd)

October/November 2002 (contd)

Provisional to Full Appointment – Community

Richard Gordon, Jr., M.D., Family Practice, Community Associate

Provisional to Full Appointment – Advanced Practice Nurse

Mollie E. Moran, C.N.P., Hematology/Oncology, Allied Health Professional

Change in Staff Category

Sherman Katz, M.D., from Associate Attending to Clinical Attending

John Robinson, M.D., Cardiology, from Associate Attending to Clinical Attending

Additional privileges requested

Conscious sedation:

James Allen, M.D., Pulmonary, Moderate and Deep
Nitin Bhatt, M.D., Internal Medicine, Pulmonary and Critical Care
Lisa Dunn-Albanese, M.D., Obstetrics and Gynecology
Djavid Hadian, M.D., Internal Medicine, Cardiology
Charles Love, M.D., Cardiology, Moderate and Deep
David Quinn, M.D., Internal Medicine, Digestive Diseases
Namita Sood, M.D., Internal Medicine, Pulmonary and Critical Care

Prescriptive Authority:

Tracy Hollar Reugg, C.N.P., Internal Medicine, Hematology/Oncology

Arterial Blood Gases:

Maureen Buckner, C.N.P., Internal Medicine, Hematology/Oncology

RESOLUTIONS IN MEMORIAM

Resolution No. 2003-69

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Herbert W. Crown

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 17, 2002, of Herbert W. Crown, Professor Emeritus, Ohio State University Extension.

RESOLUTIONS IN MEMORIAM (contd)

Herbert W. Crown (contd)

Mr. Crown was born September 6, 1927, in Nashport, Ohio. He received a Bachelor of Science degree in agriculture from The Ohio State University in 1950 and a master's degree in agricultural economics in 1966, also from The Ohio State University.

He began his Extension career in Ohio in November 1955 as the agricultural agent in Holmes County. In October 1966, he became the farm management area extension agent at the Hillsboro Center, and subsequently assumed the same position at the Mount Gilead Area Center. After 10 years of service at the Mount Gilead office, he became the area supervisor. In 1983, he took the position of farm management extension specialist. He retired as professor emeritus in 1985.

During Mr. Crown's career with Extension he was instrumental in the development of the regional planning commission, dairy information programs for Swiss cheese milk producers, and multi-county dairy and poultry programs, all of which were of great importance to the clientele of Holmes County. In addition, he also developed and presented dairy production seminar programs which were extremely valuable to farm management education in the Mount Gilead and Wapakoneta areas of the state.

He was a member of the Extension fraternity, Epsilon Sigma Phi, and participated in state committees and meetings. Mr. Crown received regional and national recognition for his work in Extension educational programs dealing with farm management. He was awarded the Distinguished Service Award by the National County Agents Association.

These contributions in providing excellent Extension educational programs during his career earned him the respect and admiration of co-workers and associates throughout the state and nation.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Herbert W. Crown its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edward F. Donovan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 15, 2002, of Dr. Edward F. Donovan, Professor Emeritus in the Department of Veterinary Clinical Sciences.

Dr. Donovan was a World War II veteran who served in the U.S. Army Air Corps from 1940-1945 as a first lieutenant pilot. A Columbus, Ohio, native, he enrolled in the College of Veterinary Medicine at The Ohio State University after the war, and received the Doctor of Veterinary Medicine degree in 1949. Following graduation, he practiced veterinary medicine in a private veterinary hospital and was employed as a clinical researcher at American Cyanamid Company in New York. Dr. Donovan returned to The Ohio State University in 1956 as an assistant professor of small animal internal medicine in the College of Veterinary Medicine. After 27 years of distinguished service on the faculty, Dr. Donovan retired from the Department of Veterinary Clinical Sciences where he was professor and acting department chair.

Over the years, his clinical interests included internal medicine and ophthalmology. Dr. Donovan conducted research studies on the normal anatomy of the canine eye and retinopathies associated with low-level radiation. The results of his research were published in various scientific journals, text book chapters, and a book by the Atomic Energy Commission.

Edward Donovan served his profession at the highest level. He was a member of the American Animal Hospital Association Committee on Ophthalmology, the American Veterinary Medical

RESOLUTIONS IN MEMORIAM (contd)

Edward F. Donovan (contd)

Association, and the Ohio Veterinary Medical Association. He was a charter diplomate of the specialty of internal medicine in the American College of Veterinary Internal Medicine, and he served as secretary of this national organization. He also served as national director of research for the Morris Animal Foundation. In 1970 he was recipient of the Norden Distinguished Teacher Award, which is the highest honor for teaching excellence awarded by the College of Veterinary Medicine.

Dr. Donovan was also an active member of the University community and served on various College committees including the Veterinary Teaching Hospital Board, the Finance Committee, and the Service and Safety Committee.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Edward F. Donovan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2003-70

Synopsis: The report on research and other sponsored program contracts and grants and the summary for October 2002 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 2002 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2003-71

Synopsis: The report on the receipt of gifts and the summary for October 2002 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The University Chair in Economics, The University Chair in Macroeconomics/Monetary Economics, The James G. (Jim) and Sue A. Oates Designated Professorship in Business, The John C. Elaim/Vorys Sater Designated Professorship in Law, and The Robert J. Nordstrom Designated Professorship in Law; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS this report includes the establishment of eighteen (18) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2002 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through October
2001 Compared to 2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>2001</u>	<u>2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$6,050,265	\$9,274,014	53
Alumni (From Bequests)	<u>1,876,712</u>	<u>1,563,955</u>	(17)
Alumni Total	\$7,926,977	\$10,837,969	37
Non-Alumni (Current Giving)	\$3,621,221	\$4,797,675	32
Non-Alumni (From Bequests)	<u>1,107,441</u>	<u>3,084,131</u>	178
Non-Alumni Total	\$4,728,662	\$7,881,806	67
Individual Total	\$12,655,639	\$18,719,775	48 ^A
Corporations/Corp/Foundations	\$12,817,546	\$15,348,472	20 ^B
Private Foundations	\$4,438,054	\$2,325,051	(48) ^C
Associations and Other Organizations	<u>\$1,297,440</u>	<u>\$1,284,430</u>	(1)
Total	\$31,208,679	\$37,677,728	21

NOTES

- A Individual giving is up 48% largely due to the fact that gifts of \$10,000 or more are up 64% (139 gifts for \$9.0 million last year; 161 gifts for \$14.8 million this year).
- B Gifts at the \$10,000 or more level from corporations are up 23% for July-October compared with the same period last year (226 gifts for \$10.7 million last year; 235 gifts for \$13.2 million this year).
- C Foundation giving at the \$10,000 or more level is down 100% for the first quarter of the fiscal year (\$2.1 million from 49 gifts this year; \$4.2 million from 57 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from October
2001 Compared to 2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>2001</u>	<u>2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$4,369,546	\$4,860,782	11
Faculty Support	\$1,665,875	\$3,038,695	82
Program Support	\$18,421,810	\$20,881,628	13
Student Financial Aid	\$4,167,337	\$6,441,628	55
Annual Funds-Colleges/Departments	\$2,437,369	\$2,305,331	(5)
Annual Funds-University	<u>\$146,742</u>	<u>\$149,664</u>	<u>2</u>
Total	\$31,208,679	\$37,677,728	21

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through October

<u>2001</u>	<u>2002</u>	<u>% Change</u>
\$8,688,048	\$13,412,621	54

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of University Chairs</u>			
The University Chair in Economics (Distinguished professor and scholar in the Department of Economics)			
The University Chair in Macroeconomics/ Monetary Economics (Distinguished professor and scholar in the Department of Economics)			
<u>Establishment of Named Designated Professorship</u>			
James G. (Jim) and Sue A. Oates Designated Professorship in Business (Used to support a faculty member in the Fisher College of Business; provided by gifts from James G. and Sue A. Oates) (\$187,500 over five years)			
<u>Establishment of Named Endowed Funds</u>			
The George, Elsa, and Louise Carroll Athletic Scholarship Fund (Used to support student athlete scholarships for members of The OSU varsity football team; provided by gifts from William Carroll)		\$250,000.00	\$250,000.00
The Lawrence J. Mervis, M.D. Endowed Fund in Neurosurgery (Used to provide support for neurosurgery; provided by gifts from the family of Dr. Lawrence J. Mervis)		\$70,000.00	\$70,000.00
<u>Change in Description of Named Endowed Fund</u>			
The Raymond D. Hammond Chemical Engineering Scholarship Fund			

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Designated Professorships</u>			
The John C. Elam/Vorys Sater Designated Professorship in Law (Used to support a professorship in the Moritz College of Law; provided by gifts made by Moritz College of Law alumni employed by Vorys Sater Seymour &Pease) (\$37,500 per year for five years)			

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Designated Professorships (contd)</u>			
<p>The Robert J. Nordstrom Designated Professorship in Law (Used to support a professorship in the Moritz College of Law; provided by gifts made by Moritz College of Law alumni employed by Porter Wright Morris & Arthur) (\$37,500 per year for five years)</p>			
<u>Establishment of Named Endowed Funds</u>			
Gleason Gearing and Power Transmission Research Fund (Used to provide support for research in the Department of Mechanical Engineering; provided by a gift from the Gleason Foundation)		\$182,097.00	\$182,097.00
The Eleanor L. Craig Scholarship Fund (Used to fund a scholarship for an accounting major in the Fisher College of Business; provided by gifts from Eleanor L. Craig)	\$173,606.00		\$173,606.00
The William M. and Darlene Aldrige Scholarship Fund in the College of Human Ecology (Used to support scholarships for students in the College of Human Ecology; provided by gifts from the estate of Darlene Aldrige)		\$150,000.00	\$150,000.00
The Florence B. Andrews Endowment Fund (Used to purchase books, reference materials, etc. for the Main Library; provided by gifts from Henry B. Andrews)		\$150,000.00	\$150,000.00
The Victor King Thompson 1934,1941 and Marianne Randall Thompson 1938 Scholarship Fund in the Knowlton School of Architecture (Used to support a scholarship for a student in the Knowlton School of Architecture; provided by a gift from Victor King Thompson and Marianne Randall Thompson)		\$82,589.00	\$82,589.00
The Aschenbach Athletic Endowment Fund (Used to supplement the scholarship costs of undergraduate members of the men's gymnastics team; provided by gifts from Douglas Aschenbach)		\$75,000.00	\$75,000.00
The Aschenbach Diversity Endowment Fund (Used to fund the recruitment initiatives of The OSU Marching Band to promote diversity; provided by a gift from Douglas Aschenbach)		\$75,000.00	\$75,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
William Edgerton Fillmore Fund for Excellence in Mechanical Engineering (Used to support financing of activities and construction in the Department of Mechanical Engineering; provided by gifts from William Edgerton Fillmore)		\$46,764.33	\$46,764.33
The Lyle Aloysius John Gassmann Memorial Award Fund in Optometry (Used to provide an award in the College of Optometry; provided by gifts from friends, family and classmates)		\$28,701.00	\$28,701.00
The Mynydd Teg Endowment Fund for Cancer Genetics Research (Used to support cancer genetics research; provided by a gift from the Mynydd Teg Family Foundation)		\$25,391.35	\$25,391.35
The Cooper/Siedentop Scholarship Fund (Used to provide dissertation support to students in the College of Education; provided by gifts from an anonymous alumna)		\$25,193.00	\$25,193.00
Brett E. Lahman Scholarship Fund for Engineers (Used to award annual scholarships to students in the College of Engineering; provided by gifts from family and friends)		\$25,153.13	\$25,153.13
The Maurice "Mo" Mullet MD Medical Student/Alumni Forum Fund (Used to support an education forum in the College of Medicine and Public Health; provided by gifts from Maurice E. Mullet)		\$25,034.00	\$25,034.00
The Joseph A. Alutto MBA Scholarship Fund (Used to provide scholarships to MBA students; provided by gifts from Joseph A. Alutto)		\$25,000.00	\$25,000.00
The Carl Kipp Jr. Paygro/Garick Endowed Scholarship Fund (Used to provide scholarships for students in the Department of Food, Agricultural, and Biological Engineering; provided by gifts from Paygro/Garick)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Kourie Family Athletic Scholarship Fund Honoring Ryan Shockley (Used to provide scholarships costs for undergraduate student-athletes on the varsity football team; provided by gifts from Michael J. and Kathryn M. Kourie)		\$25,000.00	\$25,000.00
<u>Change in Name of Named Endowed Funds</u>			
From: The Seubert Family Chadwick Arboretum Guest Lecturer Endowment Fund			
To: The Chadwick Arboretum Guest Lecturer Endowment			
From: The Mary E. Babcock Endowment Fund			
To: The Mary E. Babcock Unrestricted Endowment Fund			
Total	\$173,606.00	\$1,285,922.81	\$1,459,528.81

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of University Chairs

The University Chair in Economics

The University Chair in Economics was created in 1984 and was established December 6, 2002, by the Board of Trustees of The Ohio State University.

Through a memorandum of understanding between the Office of Academic Affairs, the College of Social and Behavioral Sciences, and the Department of Economics, a pool of general funds annual rate was set aside to support this position in perpetuity.

The general funds spending authority shall be used to provide support for a distinguished professor and scholar whose interests focus in one or more areas of economics. Appointment to the chair will be recommended by the chairperson of the Department of Economics and the dean of the College of Social and Behavioral Sciences to the provost, and approved by The Ohio State University Board of Trustees.

If the need for these funds should cease to exist, then the provost and the dean of the College of Social and Behavioral Sciences shall determine the disposition.

The University Chair in Macroeconomics/Monetary Economics

The University Chair in Macroeconomics/Monetary Economics was created in 1994 and was established December 6, 2002, by the Board of Trustees of The Ohio State University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of University Chairs (contd)

The University Chair in Macroeconomics/Monetary Economics (contd)

Through a memorandum of understanding between the Office of Academic Affairs, the College of Social and Behavioral Sciences, and the Department of Economics, a pool of general funds annual rate was set aside to support this position in perpetuity.

The general funds spending authority shall be used to provide support for a distinguished professor and scholar whose interests focus in one or more areas of monetary economics and/or macroeconomics. Appointment to the chair will be recommended by the chairperson of the Department of Economics and the dean of the College of Social and Behavioral Sciences to the provost, and approved by The Ohio State University Board of Trustees.

If the need for these funds should cease to exist, then the provost and the dean of the College of Social and Behavioral Sciences shall determine the disposition.

Establishment of Named Designated Professorship

James G. (Jim) and Sue A. Oates Designated Professorship in Business

The James G. (Jim) and Sue A. Oates Designated Professorship in Business was established December 6, 2002, by the Board of Trustees of The Ohio State University. It is being created as a result of a gift in the amount of \$187,500 over five years from James G. (Jim) (B.S.Bus.Adm., 1966) and Sue A. Oates of Gunnison, Colorado.

The annual contributions shall be used to support a faculty member in The Max M. Fisher College of Business who has demonstrated outstanding talent as a teacher and researcher, and has developed strong ties to the business community. Through these activities, the successful candidate will have not only enhanced the overall reputation of the Fisher College of Business, but will have significantly impacted the thinking and practice of managers through broad application of the candidate's ideas and theories. In addition, preference shall be given to a faculty member specializing in the area of marketing. The selection of the James G. (Jim) and Sue A. Oates Professor will be determined by the dean of the Fisher College of Business with the donors.

It is the desire of the donors that this professorship be designated for five years. Should the professorship become vacant after initial selection, the dean will identify another use for the gift in consultation with the donors.

\$187,500.00 over five years

Establishment of Named Endowed Funds

The George, Elsa, and Louise Carroll Athletic Scholarship Fund

The George, Elsa, and Louise Carroll Athletic Scholarship Fund was established December 6, 2002, by the Board of Trustees of The Ohio State University with gifts from William Carroll (B.S. – Journalism, 1933) of Columbus, Ohio, in honor and memory of his parents and wife.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The George, Elsa, and Louise Carroll Athletic Scholarship Fund (contd)

The annual income shall be used to support student-athlete scholarships for members of The Ohio State University varsity football team. Selection of the recipients will be made by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$250,000.00

The Lawrence J. Mervis, M.D. Endowed Fund in Neurosurgery

The Lawrence J. Mervis, M.D. Endowed Fund in Neurosurgery was established December 6, 2002, by the Board of Trustees of The Ohio State University with gifts from Dr. Mervis' wife, Jean, and by their children and grandchildren, given in honor and memory of Dr. Lawrence J. Mervis (B.S., 1958; M.D., 1962), clinical associate professor in the Department of Surgery, Division of Neurosurgery, of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be exclusively used to support the Division of Neurosurgery with preference given to teaching and/or research activities regarding glioblastoma or other malignant brain tumors. Any income earned by the fund prior to the earlier of either July 31, 2007 or the date Neurosurgery is an established department, shall be added to the principal of the fund. Once established as a department, the annual income shall be used exclusively to support the Department of Neurosurgery as approved by the chairperson in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

If after July 31, 2007, Neurosurgery is not an established department, the donor may redirect the use of the fund to another area in the Department of Surgery or in the Department of Neuroscience by an instrument in writing delivered to the University prior to July 31, 2008.

If funding reaches the professorship level of \$750,000, subject to the approval of the Board of Trustees of the University, the University agrees to convert the fund to a professorship and to change the name in perpetuity to The Lawrence J. Mervis, M.D. Professorship in Neurosurgery in the College of Medicine and Public Health for teaching and research in neurosurgery. Support shall be provided to a distinguished neurosurgeon, researcher, teacher or practitioner in the College of Medicine and Public Health for salary, program support, and basic and clinical research. The appointment shall be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the director/chairperson of the Division/Department of Neurosurgery with preference to be given to those interested in glioblastoma or other malignant brain tumors.

The activities of the professorship holder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Lawrence J. Mervis, M.D. Endowed Fund in Neurosurgery (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the director/chairperson of the Division/Department of Neurosurgery, or program administrative officer in order to carry out the desire of the donors.

\$70,000.00

Change in Description of Named Endowed Fund

The Raymond D. Hammond Chemical Engineering Scholarship Fund

The Raymond D. Hammond Chemical Engineering Scholarship Fund was established February 4, 1994, by the Board of Trustees of The Ohio State University with gifts from Raymond D. Hammond (B.Ch.E., 1949). The description was revised December 6, 2002, by the Board of Trustees at the request of Mr. Hammond, the chairperson of the Department of Chemical Engineering, and the dean of the College of Engineering.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

One-half of the annual income will be reinvested into The Raymond D. Hammond Chemical Engineering Scholarship Fund principal. The remaining half will be used for Raymond D. Hammond Scholarship awards at the discretion of the chairperson of the Department of Chemical Engineering until the deaths of both Raymond D. Hammond and Margaret E. Hammond, upon which the designation of the non-reinvested income will shift to awards for financially deserving students who will be enrolling in the Department of Chemical Engineering and who have demonstrated outstanding scholastic achievement and leadership. This change in designation will occur over a five-year period, such that in Year One 40 percent of the income payout will go to the original designation, and 10 percent to the new designation. In Year Two, 30 percent of the current income will go to the original designation and 20 percent to the new, and so on until Year Five, in which the entire non-reinvested income will be allocated to the new designation.

Preference for Raymond D. Hammond Scholarships will be given to graduates of Bellaire High School, and then to graduates of other Belmont County high schools. In the event there are no chemical engineering candidates, then candidates from other departments within the College of Engineering will be considered, provided they meet the above order of preference.

The award recipients shall be named annually and shall receive first preference for renewed awards, provided they maintain a 3.0 GPA during the previous school year and demonstrate sufficient progress towards graduation in an engineering curriculum. Each scholarship will be apportioned during the academic year. Each scholarship will cover up to a typical engineering undergraduate's costs for tuition, books, fees, and supplies.

Raymond D. Hammond Scholars who qualify for need-based aid may apply for additional assistance to help with board costs. These supplemental grants will be limited to any funds not committed to the basic Raymond D. Hammond Scholarships. The scholarship recipients are to be selected by a committee comprised of the following persons: the principal of Bellaire High School; the superintendent of the Belmont County Educational Services Center; the chairperson

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Fund (contd)

The Raymond D. Hammond Chemical Engineering Scholarship Fund (contd)

of the Department of Chemical Engineering; the dean of the College of Engineering; and a representative from The Ohio State University Foundation; and in consultation with the University Committee on Student Financial Aid. All unused scholarship dollars will be reinvested into the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income over a seven-year period, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Designated Professorships

The John C. Elam/Vorys Sater Designated Professorship in Law

The John C. Elam/Vorys Sater Designated Professorship in Law at the Moritz College of Law was established December 6, 2002, in accordance with guidelines established by the Board of Directors of The Ohio State University Foundation, with gifts made by Moritz College of Law alumni employed by Vorys Sater Seymour & Pease.

A sum of \$37,500 per year will be spent over a five-year period which will provide salary and research support of a professorship position at The Michael E. Moritz College of Law. Appointment to the professorship shall be recommended by the dean of the Moritz College of Law and provost, and approved by the Board of Trustees.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal.

(\$37,500 per year for five years)

The Robert J. Nordstrom Designated Professorship in Law

The Robert J. Nordstrom Designated Professorship in Law at the Moritz College of Law was established December 6, 2002, in accordance with guidelines established by the Board of Directors of The Ohio State University Foundation, with gifts made by Moritz College of Law alumni employed by Porter Wright Morris & Arthur.

A sum of \$37,500 per year will be spent over a five-year period which will provide salary and research support of a professorship position at The Michael E. Moritz College of Law. Appointment to the professorship shall be recommended by the dean of the Moritz College of Law and provost, and approved by the Board of Trustees.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal.

(\$37,500 per year for five years)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds

Gleason Gearing and Power Transmission Research Fund

The Gleason Gearing and Power Transmission Research Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Gleason Foundation of Rochester, New York.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the support of research related to gearing and power transmission in the Department of Mechanical Engineering or its successor, at the discretion of the department chairperson.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Mechanical Engineering in order to carry out the desire of the donor.

\$182,097.00

The Eleanor L. Craig Scholarship Fund

The Eleanor L. Craig Scholarship Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Eleanor L. Craig (B.S.Bus.Adm., 1934).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a student who is an accounting major, who has a 3.5 or better grade point average (GPA), and who demonstrates financial need. Selection shall be made by The Max M. Fisher College of Business Scholarship Committee in consultation with the University Committee on Student Financial Aid, with formal approval by the dean of the Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another student-oriented educational use shall be designated by the Foundation Board in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$173,606.00

**The William M. and Darlene Aldrige
Scholarship Fund in the College of Human Ecology**

The William M. and Darlene Aldrige Scholarship Fund in the College of Human Ecology was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Darlene Aldrige of Hillsboro, Ohio, and in memory of her husband, William M. Aldrige (B.S.Agr., 1961).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

**The William M. and Darlene Aldrige
Scholarship Fund in the College of Human Ecology (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships for students enrolled in the College of Human Ecology. The student recipients must exhibit high moral standards; be natives of Highland County, Ohio; and be graduates of a high school in Highland County, Ohio. Annual scholarship recipients shall be selected by the college scholarship selection committee in consultation with the University Committee on Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer, or their successor, in order to carry out the desire of the donor.

\$150,000.00

The Florence B. Andrews Endowment Fund

The Florence B. Andrews Endowment Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Henry B. Andrews (B.S.Ed., 1966) of Berea, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to purchase books and reference materials concerning English and American literature, library science, and computer science for the Main Library and, if needed, to purchase computer components for the Main Library. Unused income is reinvested into the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of University Libraries in order to carry out the desire of the donor.

\$150,000.00

**The Victor King Thompson 1934, 1941 and Marianne Randall Thompson 1938
Scholarship Fund in the Knowlton School of Architecture**

The Victor King Thompson 1934, 1941 and Marianne Randall Thompson 1938 Scholarship Fund in the Knowlton School of Architecture was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Victor King Thompson (B.F.A., 1934; B.Arch., 1941) and Marianne Randall Thompson (B.A., 1938) of Portola Valley, California.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

**The Victor King Thompson 1934, 1941 and Marianne Randall Thompson 1938
Scholarship Fund in the Knowlton School of Architecture (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Victor King Thompson has had a life-long dedication to the study of architecture, both as an individual architect and as a professor. Mr. Thompson was the first professor assigned to Stanford University's new Department of Architecture in 1947, and later became the director of the program. He also has deep roots at The Ohio State University and in the Columbus community. His father, King Thompson, began his own real estate company and was responsible for planning and developing the City of Upper Arlington. While attending the University, Victor Thompson met his wife of 62 years, Marianne Randall, granddaughter of William Oxley Thompson, the longest serving president in Ohio State's history. Victor Thompson's influence in the field of architecture will live on through his work, his students, and through the generations of future students who will benefit from this endowment.

The annual income shall be used to support a scholarship for an architecture student who has financial need, strong academic performance, and a talent for design. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Austin E. Knowlton School of Architecture in order to carry out the desire of the donors.

\$82,589.00

The Aschenbach Athletic Endowment Fund

The Aschenbach Athletic Endowment Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Douglas L. Aschenbach (B.S.Bus.Adm., 1981) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the men's gymnastics team who are pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$75,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Aschenbach Diversity Endowment Fund

The Aschenbach Diversity Endowment Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Douglas L. Aschenbach (B.S.Bus.Adm., 1981) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund the recruitment initiatives as deemed necessary by the director of The Ohio State University Marching Band. The fund is intended to promote diversity within the membership of the marching band. Special consideration will be given to African-American students and others who, because of their social and educational backgrounds and accomplishments, have the potential to bring new perspectives to the marching band.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$75,000.00

William Edgerton Fillmore Fund for Excellence in Mechanical Engineering

The William Edgerton Fillmore Fund for Excellence in Mechanical Engineering was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William Edgerton Fillmore (B.M.E., 1936) of San Clemente, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Currently of San Clemente, California, and formerly of Toledo, Ohio, William E. Fillmore was a distinguished design engineer patenting dozens of ideas and products during his 58-year career with Owens-Illinois, Inc. He retired in 1998.

The annual income as well as growth of the fund shall be used to support the financing of construction of the Department of Mechanical Engineering's new building complex to replace Robinson Laboratory during the period of its financing. Upon the completion of the financing of the construction of the Department of Mechanical Engineering's new building complex to replace Robinson Laboratory, the annual income shall be used in perpetuity for the unrestricted support of the activities of the Department of Mechanical Engineering or its successor unit at the discretion of the department chairperson.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Mechanical Engineering in order to carry out the desire of the donor.

\$46,764.33

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Lyle Aloysius John Gassmann Memorial Award Fund in Optometry

The Lyle Aloysius John Gassmann Memorial Award Fund in Optometry was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family, friends and classmates.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

During his fourth year of study at Ohio State's College of Optometry, Lyle waged a very courageous fight against non-Hodgkin's lymphoma. He passed away on December 4, 2001, following a successful bone marrow transplant. A very organized and well-prepared student, Lyle quickly became a leader in his class. His charisma, friendliness, and his service to others marked his experience at Ohio State. He was genuinely interested in other people and made friends quickly, probably because people wanted to be around his joyful spirit. Lyle's determination and his infectious enthusiasm for life will be remembered fondly, and sadly missed by all who knew him. The Gassmann family wishes to honor his life through this endowment.

The annual income shall be used to provide an award to the graduating optometry student who best exemplifies Lyle's humanitarian characteristics. Recipients will be selected by the dean of the College of Optometry and the college's Student Affairs staff, in consultation with the college faculty and the University Committee on Student Financial Aid. The Gassmanns will receive annual updates on their fund and will be sent biographical information on each Gassmann Award winner.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Optometry in order to carry out the desire of the donors.

\$28,701.00

The Mynydd Teg Endowment Fund for Cancer Genetics Research

The Mynydd Teg Endowment Fund for Cancer Genetics Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Mynydd Teg Family Foundation of Granville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Mynydd Teg Endowment Fund for Cancer Genetics Research (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,391.35

The Cooper/Siedentop Scholarship Fund

The Cooper/Siedentop Scholarship Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous alumna. The fund was established to honor two College of Education professors, John O. Cooper and Daryl L. Siedentop.

John O. Cooper served as a member of the special education faculty at The Ohio State University from 1970 until his retirement in 2000. His special areas of interest include behavior analysis and precision teaching in teacher education. He received the Alumni Association Distinguished Teaching Award in 1984. He is co-author of a widely-used textbook, *Applied Behavior Analysis*.

Daryl L. Siedentop served as a member of the sport and exercise faculty from 1970 until his retirement in 2001. He served as interim dean of the College of Education from 1998 to 2000. He was recipient of numerous awards, from OSU, AAHPERD, AERA, and Indiana University. He is author of a widely-used textbook, *Introduction to Physical Education, Sport, and Fitness*.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be divided evenly and shall provide dissertation support annually to a graduate student in special education-applied behavior analysis, and a graduate student in physical education-teacher education. Selection of the recipients shall be made by the dean of the College of Education in consultation with the faculty in special education and physical education and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,193.00

Brett E. Lahman Scholarship Fund for Engineers

The Brett E. Lahman (B.S.I.S.E. 2000) Scholarship Fund for Engineers was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family and friends.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Brett E. Lahman Scholarship Fund for Engineers (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to award an annual scholarship(s) to a third-year engineering student(s) with preference to a student(s) who exemplifies leadership skills and is active in an engineering student or professional organization, intramural sport, and/or extracurricular activities on campus. In order to be eligible for the scholarship, the student(s) must have a 2.5 to a 3.5 GPA. The student(s) must also have financial need with preference given to a student(s) who is currently working a part-time job and/or a work study position. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the family and dean of the College of Engineering in order to carry out the desire of the donors.

\$25,153.13

The Maurice "Mo" Mullet MD Medical Student/Alumni Forum Fund

The Maurice "Mo" Mullet MD Medical Student/Alumni Forum Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Maurice E. Mullet (M.D., 1963) of Berlin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an education forum involving medical students and medical alumni in the College of Medicine and Public Health. This forum is designed to allow for more meaningful dialogue between current medical students and alumni. The Maurice Mullet MD Medical Student/Alumni Forum will be held twice annually and hosted by the OSU Medical Alumni Society and the OSU Medical Student Alumni Council. The topic of each forum and its alumni participants will be identified through a process implemented by the Society and the Council that involves strong participation by the medical students. At least one forum per year shall be focused on issues relating to community health or public health. Allocation of funds shall be approved by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the leadership of the Society (or its designee) and of the Council (or its designee). Funds may support, but are not limited to: associated travel expenses, honorarium, reception, publication costs, etc.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,034.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Joseph A. Alutto MBA Scholarship Fund

The Joseph A. Alutto MBA Scholarship Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joseph A. Alutto of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by The Max M. Fisher College of Business to provide a scholarship(s) to MBA students. Selection of the recipient(s) will be made by a college committee led by the academic director of the MBA Program in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of The Max M. Fisher College of Business in order to carry out the desire of the donor.

\$25,000.00

The Carl Kipp Jr. Paygro/Garick Endowed Scholarship Fund

The Carl Kipp Jr. Paygro/Garick Endowed Scholarship Fund was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Paygro/Garick to honor Carl Kipp, Jr. (B.S.Agr., 1953) of South Charleston, Ohio.

All gifts are to be invested by the Foundation under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship(s) for junior- or senior-year students who are enrolled in the Department of Food, Agricultural, and Biological Engineering, with priority based on a concentration of study in bioenvironmental, soil and water, ecological, or biological engineering. Preference shall be given to students willing to intern at Paygro or Garick. Students shall have a minimum GPA of 3.0 and take a course that includes business or economics-related fundamentals. The selection will be made in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board, in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the chairperson of the Department of Food, Agricultural, and Biological Engineering, or their successors in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kourie Family Athletic Scholarship Fund Honoring Ryan Shockley

The Kourie Family Athletic Scholarship Fund Honoring Ryan Shockley was established December 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael J. (B.A., 1974) and Kathryn M. (B.S.Pharm., 1977) Kourie of San Juan Capistrano, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid and in accordance with NCAA rules.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

Change in Name of Named Endowed Funds

The Chadwick Arboretum Guest Lecturer Endowment Fund

The Seubert Family Chadwick Arboretum Guest Lecturer Endowment Fund was established September 6, 2002, by the Board of Trustees of The Ohio State University with gifts from Howard P. Seubert, (matching gift from Rockwell International), and Mariruth Dresbach Seubert, (M.A., 1958) an avid gardener, and wife of Howard, who participated in the first Franklin County Master Gardener Class in 1993 and has been a longtime supporter and volunteer of the Chadwick Arboretum. Gifts were also given by Suzanne Seubert-Rowan (J.D., 1981), Stuart H. Seubert, and Steven M. Seubert, children of Howard and Mariruth. The name was revised December 6, 2002.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The preferred use of the annual income shall be to support a guest lecturer at the Chadwick Arboretum. Other uses could include the sponsorship of educational programs with a focus on public horticultural education. In addition, the income can also be used for any other programs deemed desirable in connection with the operation of the Chadwick Arboretum in the Department of Horticulture and Crop Science in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural and Environmental Sciences, and the chairperson of the Department of Horticulture and Crop Science, or their successor, in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name of Named Endowed Funds (contd)

The Mary E. Babcock Unrestricted Endowment Fund

The Mary E. Babcock Endowment Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with unrestricted gifts to The Ohio State University Foundation from the estate of Mary Elizabeth Babcock. The name was revised December 6, 2002.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the president of The Ohio State University for the purpose of providing resources for fund raising at the University.

It is the desire of the Foundation that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors.

EMPLOYMENT OF ARCHITECTS/ENGINEERS

Resolution No. 2003-72

**DOAN HALL 11 – INFECTIOUS DISEASES
BIOLOGICAL SCIENCES BUILDING – ROOMS 517, 517A AND 521**

Synopsis: Authorization to employ architectural/engineering firms for the Doan Hall 11 - Infectious Diseases and Biological Sciences Building – Rooms 517, 517A, and 521 projects is requested.

WHEREAS Health Sciences desires to renovate space on the 11th floor of Doan Hall to consolidate Infectious Diseases office space, including space for the new department chair; and

WHEREAS the preliminary project cost estimate is approximately \$412,000 with design costs being funded by Health Sciences; and

WHEREAS the College of Biological Sciences desires to renovate rooms 517, 517A, and 521 of the Biological Sciences Building to meet current standards for a biosafety level 3 containment facility; and

WHEREAS the preliminary project cost estimate is approximately \$298,000 with design costs being funded by the College of Biological Sciences:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to contract with qualified architectural/engineering firms as necessary for the Doan Hall 11 - Infectious Diseases and Biological Sciences Building – Rooms 517, 517A, and 521 projects and that the fees for these services be negotiated between the firms selected and the University.

(See Appendix XXIX for background information and maps, page 643.)

AMENDMENT OF ALTERNATIVE RETIREMENT PLAN

Resolution No. 2003-73

Synopsis: Authorization to amend the University's Alternative Retirement Plan to conform to requirements of the Internal Revenue Code is proposed.

WHEREAS the Board of Trustees adopted The Ohio State University Alternative Retirement Plan ("the Plan"), effective February 5, 1999; and

WHEREAS the University reserved the right in Section 8.3 of the Plan to amend the Plan by action of the Board of Trustees; and

WHEREAS The Economic Growth and Tax Relief Reconciliation Act of 2001 requires certain amendment be made to the Plan; and

WHEREAS the Board desires to approve a formal amendment to the Plan in order to comply with Internal Revenue Code requirements:

NOW THEREFORE

BE IT RESOLVED, That an Amendment to the Plan, a copy of which is on file with the Office of the Board of Trustees, is hereby adopted effective January 1, 2002; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to execute the Plan Amendment and any other instruments, documents, or conveyances necessary to effectuate the Amendment, to submit the Plan to the Internal Revenue Service; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, in carrying out this resolution, is hereby authorized and empowered to make any necessary changes to the Plan as may be required to ensure compliance with the provisions of the Internal Revenue Code of 1986 and the Ohio Revised Code, and any related rules and regulations, currently in effect or as hereinafter amended, and to take such further action as may be necessary or advisable to implement this resolution without further ratification or action by this Board.

(See Appendix XXX for background information, page 647.)

ESTABLISHMENT OF A NEW SELF-INSURANCE TRUST

Resolution No. 2003-74

Synopsis: Establishment of a new Self-Insurance Trust is proposed.

WHEREAS on November 1, 2002, the University Board of Trustees adopted a Medical Practice Plan establishing a college central practice group; and

WHEREAS the senior vice president for Health Sciences and dean of the College of Medicine and Public Health has approved and designated Ohio State University Physicians (OSUP) as the college central practice group pursuant to the Medical Practice Plan; and

WHEREAS each faculty member who provides patient care and is employed by a clinical department of the College of Medicine and Public Health (COMPH) will be an employee of OSUP; and

ESTABLISHMENT OF A NEW SELF-INSURANCE TRUST (contd)

WHEREAS the practice of medicine constitutes an integral part of faculty appointments in clinical departments of the College of Medicine and Public Health and thus an integral part of the University mission of patient care, service, research, and teaching; and

WHEREAS the University established a self-insurance trust in 1976 to meet claims brought against the Hospital and Oval Ltd., a captive insurance company in 1994, and formed a self-insurance committee to oversee that self-insurance program; and

WHEREAS section 3345.202 of the Ohio Revised Code permits the Board of Trustees of a state university to establish insurance programs for officers and employees of the university and others authorized by the Board; and

WHEREAS the provision of reliable and affordable medical malpractice/liability insurance through the University self-insurance program supports the achievement of excellent patient care, service, research, and teaching and the University's ability to recruit and retain qualified faculty members; and

WHEREAS the leadership of the Medical Center and the self-insurance committee have recommended that the self-insurance program be expanded to provide medical malpractice/liability insurance for faculty members and staff who are involved in the delivery of clinical care and are employed by OSUP, the OSU Health System, or the College of Medicine and Public Health:

NOW THEREFORE

BE IT RESOLVED, That the University Board of Trustees shall cause to be established a new self-insurance trust to provide medical malpractice/liability coverage for faculty and staff who are involved in the delivery of clinical care and employed by OSUP, the OSU Health System, or the College of Medicine and Public Health in accordance with the following initial minimum requirements:

1. This self-insurance program shall be administered by a Self-Insurance Board, to be appointed by the University Board of Trustees, based upon the recommendations of the President, and will operate under the guidelines established by The Ohio State University Board. The majority of the Board should be composed of citizen independent members.
2. The Self-Insurance Board shall determine the level of medical malpractice insurance coverage for eligible participants and shall have the authority to establish differential premiums and/or deductibles and to exclude individuals from coverage.
3. OSUP, as the college central practice group, shall have the continuing financial responsibility to contribute annually the amount actuarially determined and approved by the Self-Insurance Board to be necessary for that year for primary and excess coverage of such eligible faculty members.
4. Upon approval of the application for coverage submitted by a faculty member, the self-insurance program shall provide coverage for that faculty member while he/she is acting within the approved scope of his/her duties in providing patient care at locations and under conditions as approved by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.
5. This self-insurance program shall not become effective until an Agreement is executed between the University and OSUP.
6. The current self-insurance trust shall continue in operation and be administered by the newly appointed Self-Insurance Board.

ESTABLISHMENT OF A NEW SELF-INSURANCE TRUST (contd)

7. A strict system to provide checks and balances should be established for managing the physician's liability including malpractice claim exposure and the clinical risks including a particular specialty.
8. The Board of Trustees through the appropriate University governance structure should be kept current on all critical issues concerning the Self-Insurance program. That should include, but not be limited to, reporting regularly to the Fiscal Affairs (Audit) Committee of The Ohio State University Board of Trustees.

Upon motion of Mr. Borrer, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Hendricks and Davidson.

Mr. Patterson:

It bears noting in terms of the establishment of the Self-Insurance Trust that while all issues that come before the Board have some great importance, this issue to a large degree was Step Two in a long process of the changes that are going on at our academic medical center. The Self-Insurance Trust is an issue of extreme complexity that the University has been involved in and takes on a huge importance as we make these changes.

I want to thank all who participated: Dean Sanfilippo, Kate Haller, Reed Fraley, and Dr. Ellis; members of the Board, particularly Vice Chairman Sofia, Dan Slane, Dimon McFerson, and Bob Duncan; and to Ginny Trethewey for her help in the legal aspects of this. This was a very complex issue to walk through and a tremendous amount of hours have been spent on it. It's a very positive step for the University and keeps us in that "go-forward" position.

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ANNUAL AUDIT AND FINANCIAL REPORT

Mr. William J. Shkurti:

We will be asking for your approval on the audit and financial report for the year ending June 30, 2002. This is Item 13 on your Board agenda and has been previously reviewed in detail by the Fiscal Affairs Committee, so I will just summarize the highlights.

First, I'd like to introduce a couple of the key participants in this process: Mike Fritz, managing partner for Deloitte and Touche; Kevin Patton, director of Internal Audit; and Greta Russell, University Controller, and her staff. Greta is not here this morning, because I've given her too much other work to do today.

I think the two most important things that I can say in summary about the audit is first of all, it's a clean audit, which is something we always strive to achieve. Secondly, this particular audit represents a successful transition to a new reporting system that includes maintaining the traditional fund accounting for control purposes as a public entity. It also involves a more business-type of reporting for purposes of aggregating our financial statements.

One of the new items related to this new reporting format is a 13-page management discussion and analysis summary, which is the first time this has been done for the University. There are two major points that are in that document that I would just like to summarize. One is that the University is financially sound, despite a rather challenging fiscal environment. And secondly, the University is continuing to become less dependent on state support, and

ANNUAL AUDIT AND FINANCIAL REPORT (contd)

Mr. Shkurti: (contd)

more dependent on other sources of revenue. A good example is the sponsored research that Brad Moore talked about earlier.

There are two implications from this shift, and this has been a shift that has been going on for a number of years. First of all, as the University becomes more entrepreneurial, it means we also need to manage the risk associated with those entrepreneurial efforts. Secondly, it also means because these entrepreneurial funding sources aren't guaranteed -- although state funding is not guaranteed either as we have found out -- we need to be better able to redirect our resources here at the University. This is discussed in greater detail in the management letter that I mentioned, but I thought they were important to be highlighted.

In conclusion, I would say that the University is well positioned to advance in achieving its academic goals despite an uncertain fiscal environment, but that we will continue to need to adapt and continuously improve our management of financial resources. That concludes my presentation, Mr. Chairman. If there are any questions, I'll be glad to respond.

ACCEPTANCE OF THE REPORT OF AUDIT FOR 2001-02

Resolution No. 2003-75

Synopsis: The report of the audit of the financial statements for The Ohio State University for 2001-02 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS with the approval of the Auditor of State, The Ohio State University entered into a four-year agreement with Deloitte & Touche in March 2002 for an annual audit of the University for fiscal years 2001-02 through 2004-05; and

WHEREAS the Deloitte & Touche audit of the University for 2001-02, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, and certain internal control recommendations have been discussed with the Fiscal Affairs Committee; and

WHEREAS the auditors' internal control recommendations are being reviewed by the appropriate University offices, and appropriate procedures and responses are being developed as a result of these recommendations:

NOW THEREFORE

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 2001-02, including the report on the audit of the University's financial statements and the summary of significant internal control recommendations to management, be accepted.

Upon motion of Mr. Sofia, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, Borrer, and Judge Duncan, Mses. Hendricks, and Davidson.

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Mr. Patterson:

That brings us to the end of the agenda. I think it's worth noting that within the things that we've done today, there has been a couple of very important actions that have been worked on for a long period of time. There was the President's

December 6, 2002 meeting, Board of Trustees

Mr. Patterson: (contd)

positive report on some of the actions that we're taking in regard to some of the events that have occurred in our past, recognition of the huge effort and great strides that research is making at the University, and the recognition of an outstanding student.

This University has been hung up on the number 13 since the football team played Michigan. Brad referred to it, Bill referred to it. That magic number for Ohio State is going to change to 14 in about three weeks, on January 3.

Happy holidays to all of you.

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Thereupon the Board adjourned to meet Friday, February 7, 2003, at The Ohio State University Davis Heart and Lung Institute, Columbus, Ohio.

Attest:

Maureen T. Sharkey
Associate Secretary

James F. Patterson
Chairman



Doan Hall 11 - Infectious Diseases
315-2002-930

Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: Renovation of 11th floor of Doan Hall to consolidate Infectious Diseases office space, including space for the new Office Chair.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
 (van-deusen.2@osu.edu)

Project Assistant: Karen Cogley
 (cogley.1@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Formerly known as 50700-R025184.
 Preliminary project budget of \$412,000.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion

Schedule:

Planning

Arch/Engr Approved by B/T

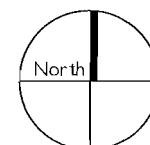
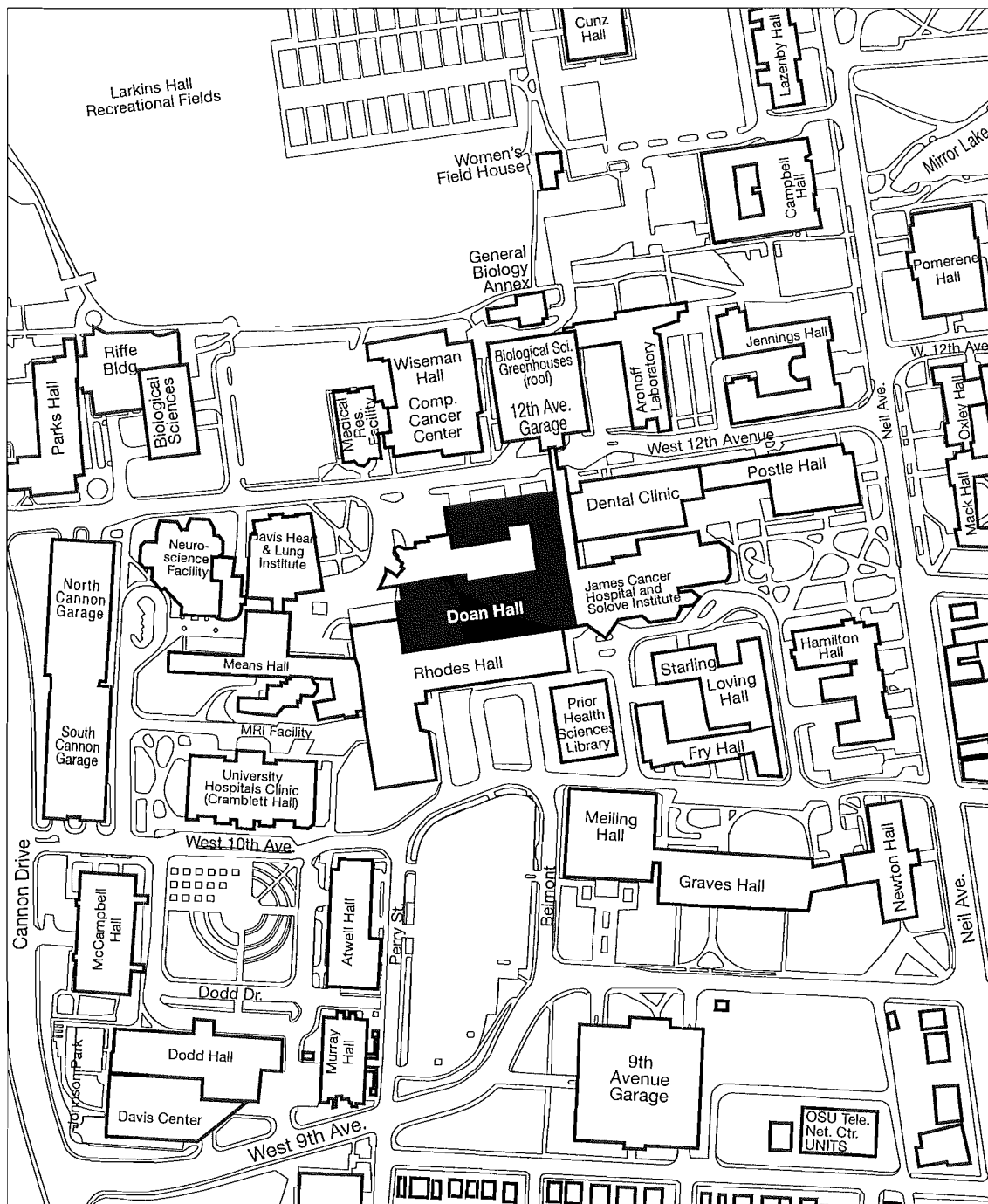
Projected

12/06/2002

Revised

Actual

Doan Hall II - Infectious Diseases



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2002



Biological Sciences - Rooms 517, 517A, 521
50700-R021701

Requesting Agency(s): BIOLOGICAL SCIENCES ADMINISTRATION

Location(s): BIOLOGICAL SCIENCES BUILDING

Gross Sq. Ft.182,129 Age: 1970

Description: Renovate rooms to meet current standards for a Bio Safety Level 3 facility. This includes a drop ceiling with out-of-room access to above-ceiling spaces for maintenance personnel; recessed lighting with weatherproof gaskets; weather-tight, self closing doors; an enlarged anteroom with key-card access and interlocking doors; campus phones; video surveillance system and ventilation system adjustments to maintain negative pressure in rooms.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Is Unassigned

Field Coordinator: Is Unassigned

Project Information:

Preliminary project budget of \$300,000.

Source of Funds: Original Revised

Uses of Funds: As Designed As Bid Completion

Schedule:

Projected

Revised

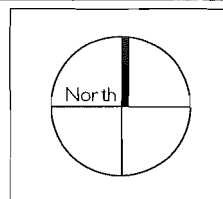
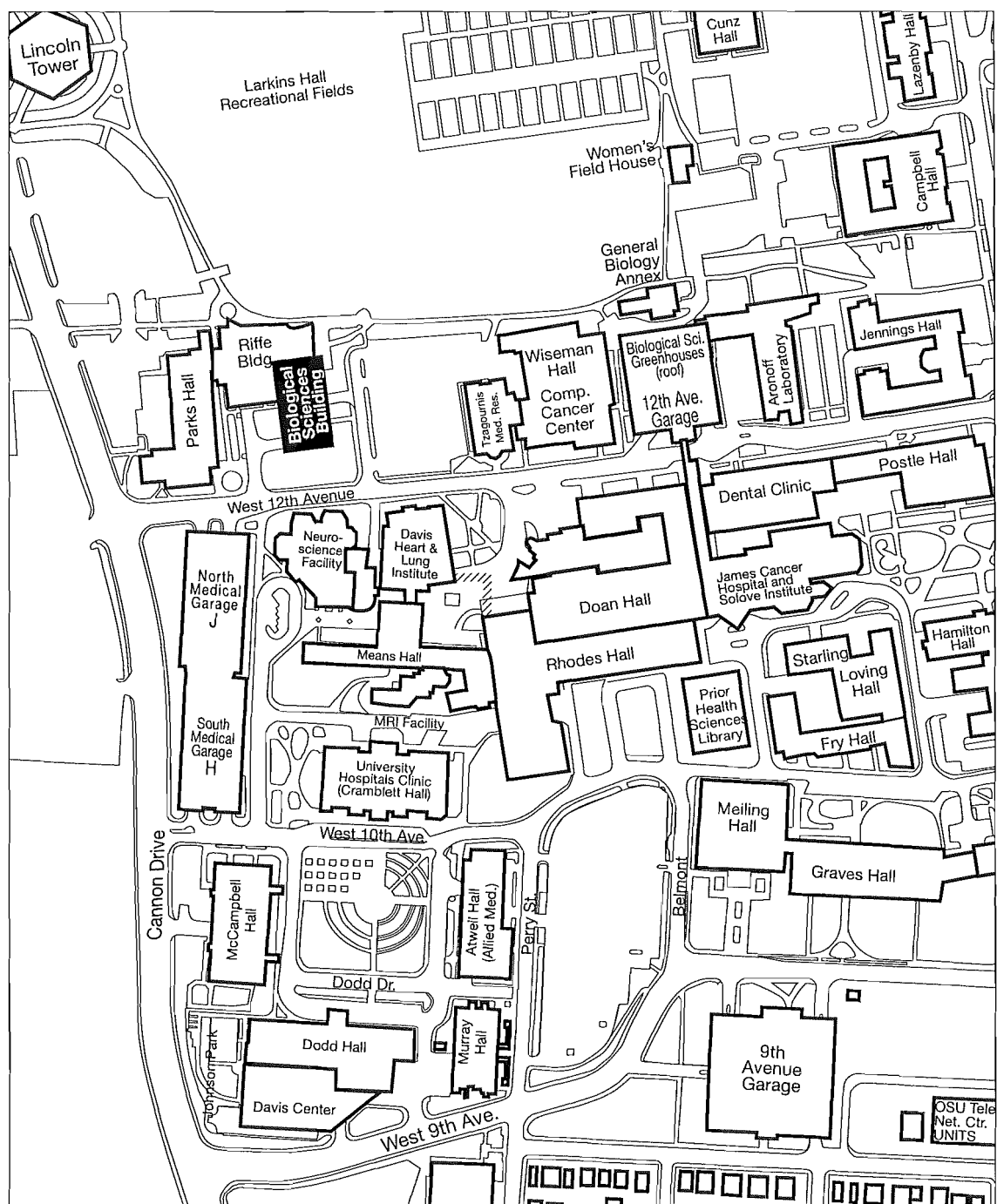
Actual

Planning

Arch/Engr Approved by B/T

12/06/2002

Biological Sciences Building - Rooms 517, 517A and 521



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2002

AMENDMENT OF THE OHIO STATE UNIVERSITY ALTERNATIVE RETIREMENT PLAN FOR
THE ECONOMIC GROWTH AND TAX RELIEF RECONCILIATION ACT OF 2001

PREAMBLE

This amendment of the Ohio State University Alternative Retirement Plan (the "Plan") is adopted to reflect certain provisions of the Economic Growth and Tax Relief Reconciliation Act of 2001 ("EGTRRA"). This amendment is intended as good faith compliance with the requirements of EGTRRA and is to be construed in accordance with EGTRRA and guidance issued thereunder. Except as otherwise provided, this amendment shall be effective as of the first day of the first Plan Year beginning after December 31, 2001.

This amendment shall supersede the provisions of the Plan to the extent those provisions are inconsistent with the provisions of this amendment.

1. Section 2.6 of the Plan is amended by the addition of the paragraph below to the end of Section 2.6:

"The annual Compensation of each Participant taken into account in determining allocations for any Plan Year beginning after December 31, 2001, shall not exceed \$200,000, as adjusted for cost-of-living increases in accordance with Section 401(a)(17)(B) of the Code. Annual Compensation means Compensation during the Plan Year or such other consecutive 12-month period over which Compensation is otherwise determined under the Plan (the determination period). The cost-of-living adjustment in effect for a calendar year applies to annual Compensation for the determination period that begins with or within such calendar year."

2. Section 5.3 is amended by the addition of the below paragraphs to the end of Section 5.3(d)(vi).

(vii) "The preceding paragraph in this Section 5.3(d)(vi) is effective for Limitation Years beginning before December 31, 2001. Effective for Limitation Years beginning after December 31, 2001, except to the extent permitted under Section 414(v) of the Code (if applicable), the annual addition that may be contributed or allocated to a Participant's Account under the Plan for any Limitation Year shall not exceed the lesser of:

- (a) \$40,000, as adjusted for increases in the cost-of-living under Section 415(d) of the Code, or
- (b) 100 percent of the Participant's compensation, within the meaning of Section 415(c)(3) of the Code, for the Limitation Year.

The foregoing limit is referred to as the "415(c) Limit." The 415(c) Limit with respect to any Participant for a Limitation Year, plus the amount of any additional elective deferral permitted to be made by a Participant under Section 414(v) of the Code with respect to such Limitation Year, is referred to as the "Maximum Permissible Amount." The compensation limit referred to in (b)

shall not apply to any contribution for medical benefits after separation from service (within the meaning of Section 401(h) or Section 419A(f)(2) of the Code) which is otherwise treated as an annual addition.”

If there is a short Limitation Year because of a change in the Limitation Year, the administrator will multiply the \$40,000 limitation (or larger limitation) by the following fraction: number of months in the short Limitation Year divided by twelve (12).”

3. Sections 7.6 and 7.9 are amended by the addition of the following paragraphs to the end of each Section:

“ Effective date. This Section shall apply to distributions made after December 31, 2001.

Modification of definition of Eligible Retirement Plan. For purposes of the direct rollover provisions in these Sections 7.6 and 7.9, an Eligible Retirement Plan shall also mean an annuity contract described in Section 403(b) of the Code and an eligible Plan under Section 457(b) of the Code which is maintained by a state, political subdivision of a state, or any agency or instrumentality of a state or political subdivision of a state and which agrees to separately account for amounts transferred into such plan from this Plan. The definition of Eligible Retirement Plan shall also apply in the case of a distribution to a surviving Spouse, or to a Spouse or former Spouse who is the alternate payee under a Qualified Domestic Relation Order, as defined in Section 414(p) of the Code.

4. Section 7.9 is further amended as provided below by the addition of the following to the end of Section 7.9:

“In addition to, and subject to, the foregoing terms and conditions (with the exception of those provisions regarding the acceptance of rollover contributions from conduit individual retirement accounts), effective January 1, 2002, the Plan will accept Participant rollover contributions and/or direct rollovers of distributions made after December 31, 2001, from the types of plans specified below.

Direct Rollovers:

The Plan will accept a direct rollover of an Eligible Rollover Distribution from:

 X a qualified plan described in Section 401(a) or 403(a) of the Code.

 X an annuity contract described in Section 403(b) of the Code.

 X an eligible plan under Section 457(b) of the Code which is maintained by a state, political subdivision of a state, or any

agency or instrumentality of a state or political subdivision of a state.

Participant Rollover Contributions from Other Plans:

The Plan will accept a Participant contribution of an Eligible Rollover Distribution from:

 X a qualified plan described in Section 401(a) or 403(a) of the Code.

 X an annuity contract described in Section 403(b) of the Code.

 X an eligible Plan under Section 457(b) of the Code which is maintained by a state, political subdivision of a state, or any agency or instrumentality of a state or political subdivision of a state.

Participant Rollover Contributions from IRAs:

The Plan will accept a Participant rollover contribution of the portion of a distribution from an individual retirement account or annuity described in Section 408(a) or 408(b) of the Code that is eligible to be rolled over and would otherwise be includible in gross income.

Notwithstanding any of the foregoing, the Plan will not accept any portion of a rollover contribution or a direct rollover that includes after-tax employee contributions.

5. Section 7.9 is amended in its entirety for distributions made after December 31, 2001 as set forth below:

"The amount transferred to the Plan must be transferred within sixty (60) days of the date such individual received the Eligible Rollover Distribution, provided, however, that for distributions made after December 31, 2001, the Secretary of the Treasury may waive the 60-day rollover period if the failure to waive such requirement would be against equity or good conscience, including cases of casualty, disaster, or other events beyond the reasonable control of the individual as provided under Code Sections 402(c)(3) and 408(d)(3)."

IN WITNESS WHEREOF, the University hereby adopts this Amendment to The Ohio State University Alternative Retirement Plan, effective as of January 1, 2002, except as otherwise noted herein, this 6th day of December 2002.